

**Emittance Compensation in High Brightness RF
Photo-Injectors: an introduction
(to the SPARC project)**

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Transverse Brightness of Electron Beams

$$B_n = \frac{2I}{\varepsilon_{nx} \varepsilon_{ny}} \left[\frac{A}{m^2 \text{rad}^2} \right]$$

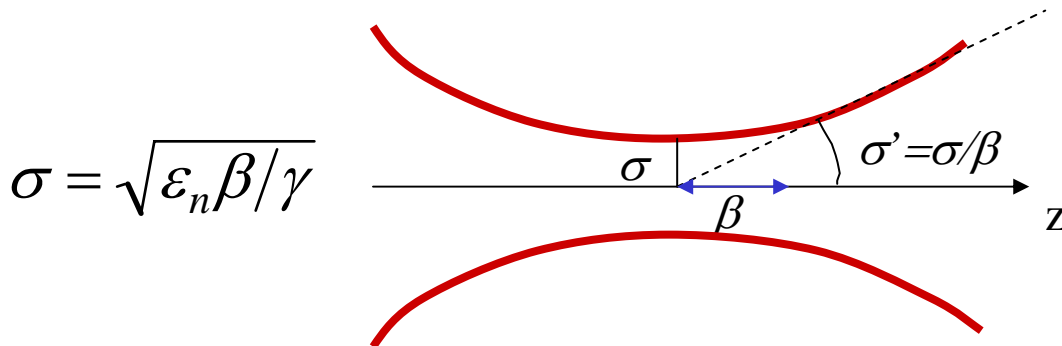
I = peak current

ε_{nx} = rms normalized transverse emittance

Quality Factor : beam peak current density normalized to the rms beam divergence angle

Round Beam : $\varepsilon_{nx} = \varepsilon_{ny}$, $J = I/\sigma^2$ \Rightarrow

$$B_n = \frac{2J}{(\sigma'\gamma)^2} = \frac{2J\sigma^2}{\varepsilon_n^2}$$

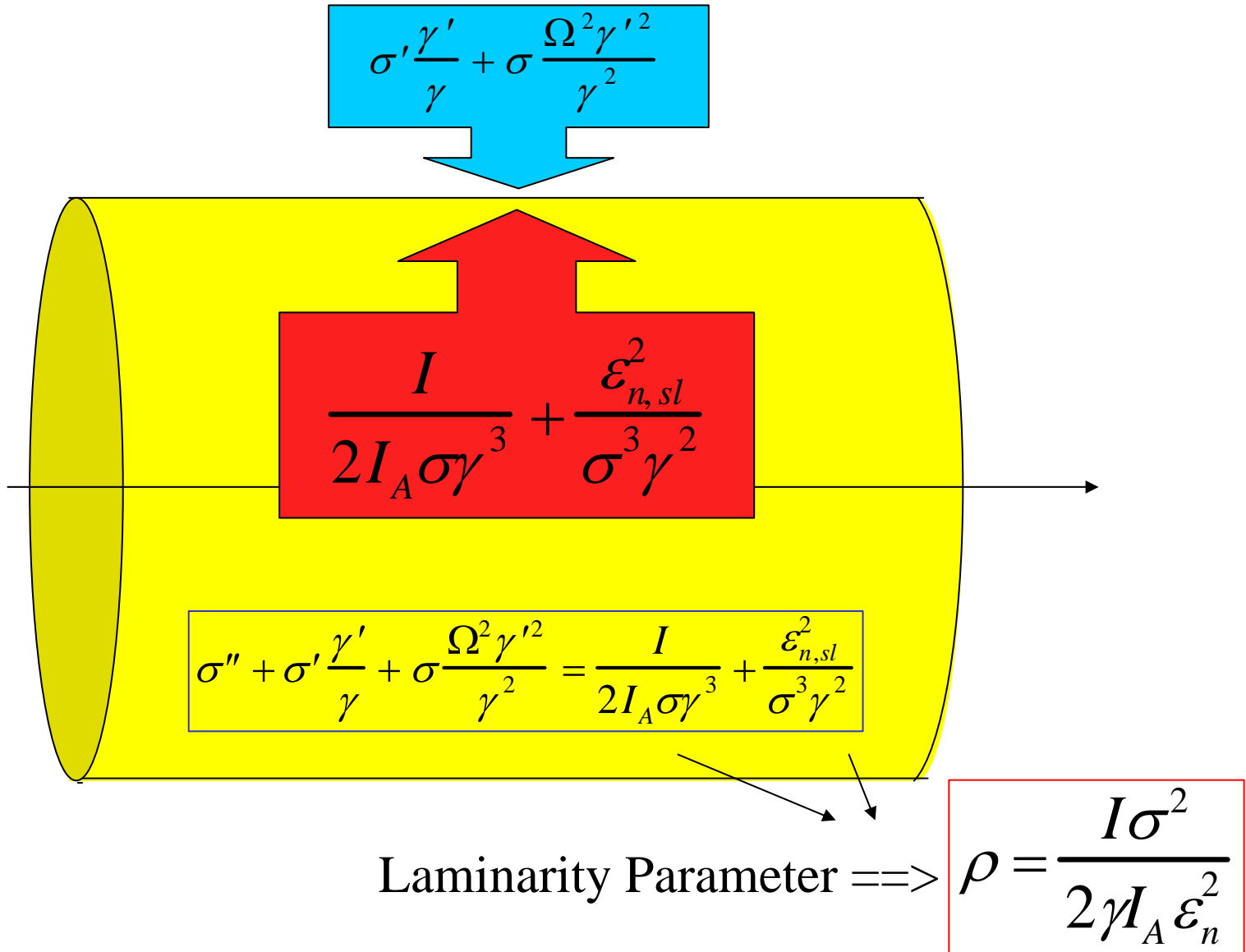


SASE-FEL Scaling Laws

$$\lambda_r^{MIN} \propto \frac{\varepsilon_n}{K} \left(\frac{\delta\gamma}{\gamma} \right) \sqrt{\frac{(1 + K^2/2)}{I\gamma}} \propto \left(\frac{\delta\gamma}{\gamma} \right) \sqrt{\frac{(1 + K^2/2)}{\gamma B_n K^2}}$$

$$L_g \propto \frac{\varepsilon_n \gamma^{3/2}}{K \sqrt{I(1 + K^2/2)}} \propto \frac{\gamma^{3/2}}{K \sqrt{B_n (1 + K^2/2)}}$$

Schematic View of the Envelope Equations



$$\sigma' \frac{\gamma'}{\gamma} + \sigma \frac{\Omega^2 \gamma'^2}{\gamma^2}$$

$$\frac{I}{2I_A \sigma \gamma^3} + \frac{\epsilon_{n,sl}^2}{\sigma^3 \gamma^2}$$

$$\sigma'' + \sigma' \frac{\gamma'}{\gamma} + \sigma \frac{\Omega^2 \gamma'^2}{\gamma^2} = \frac{I}{2I_A \sigma \gamma^3} + \frac{\epsilon_{n,sl}^2}{\sigma^3 \gamma^2}$$

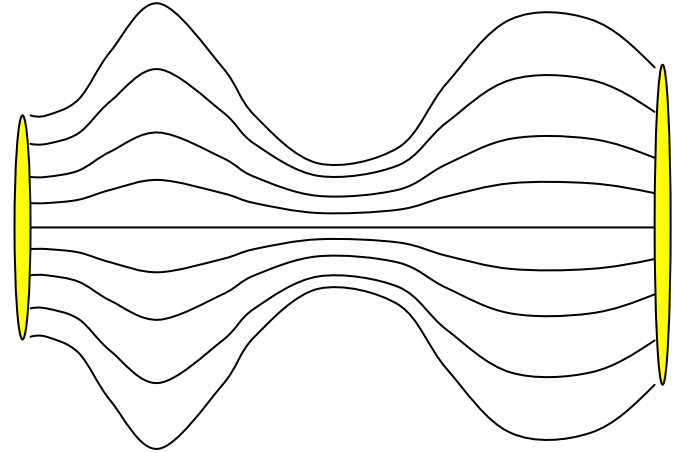
Laminarity Parameter ==> $\rho = \frac{I \sigma^2}{2 \gamma I_A \epsilon_n^2}$

The beam undergoes *two regimes* along the accelerator:

$$\rho \gg 1$$

$$\sigma'' + \sigma' \frac{\gamma'}{\gamma} + \sigma \frac{\Omega^2 \gamma'^2}{\gamma^2} = \frac{I}{2I_A \sigma \gamma^3} + \frac{\varepsilon_{n,sl}^2}{\sigma^3 \gamma^2}$$

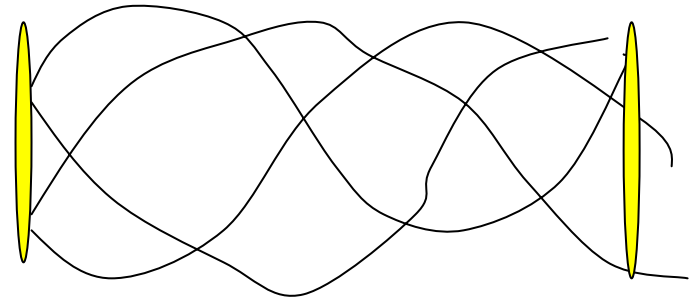
Laminar Beam



$$\rho \ll 1$$

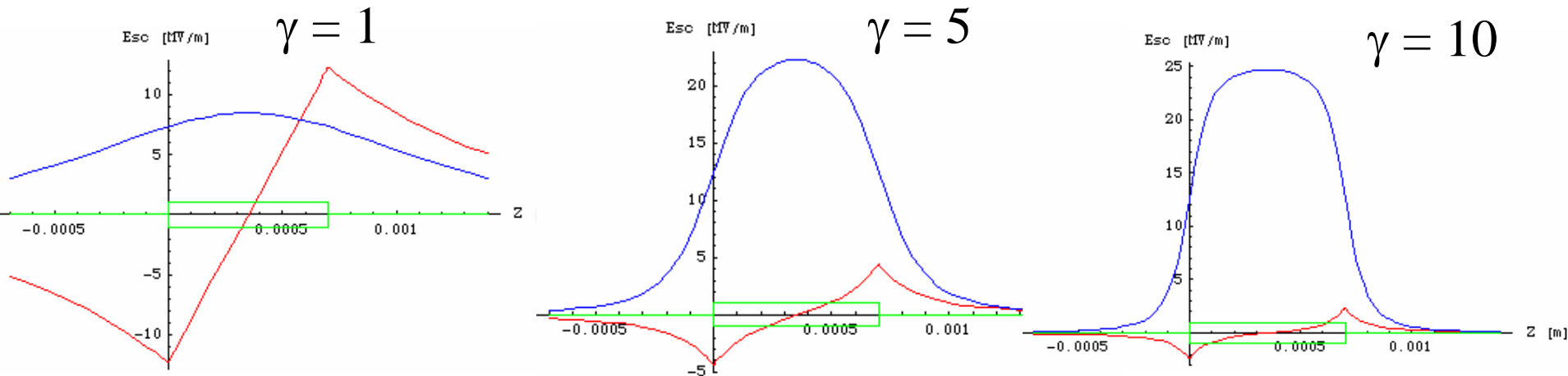
$$\sigma'' + \sigma' \frac{\gamma'}{\gamma} + \sigma \frac{\Omega^2 \gamma'^2}{\gamma^2} = \frac{I}{2I_A \sigma \gamma^3} + \frac{\varepsilon_{n,sl}^2}{\sigma^3 \gamma^2}$$

Thermal Beam

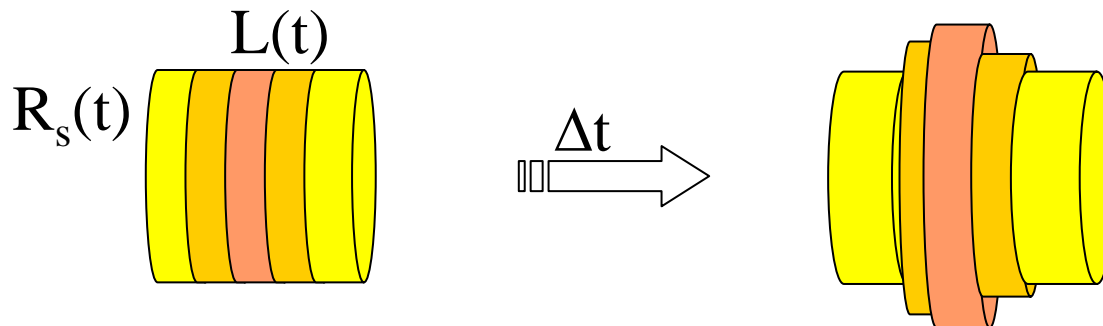


Laminar Beam-Transverse Space charge Field

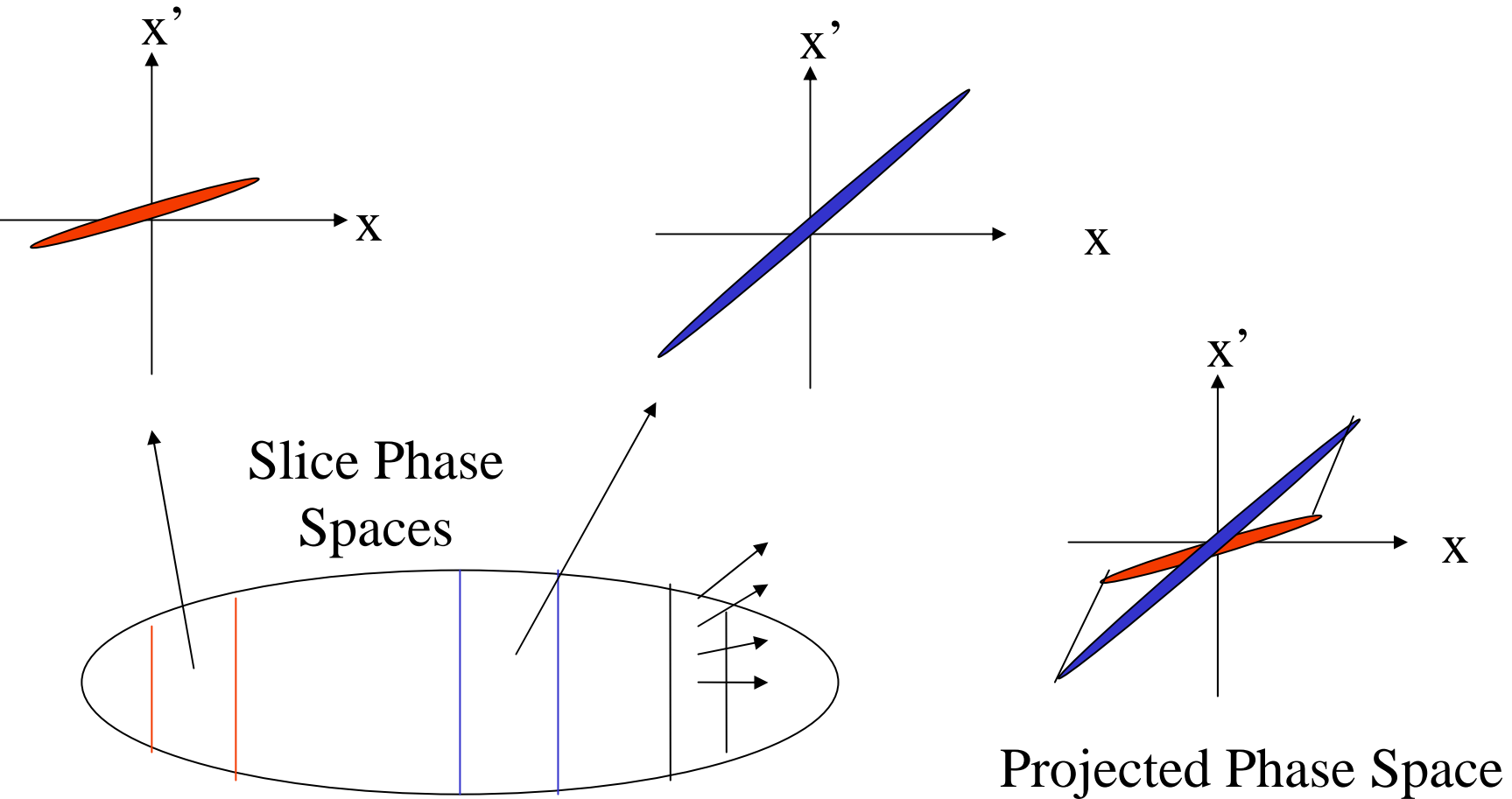
$$E_r^{sc}(\zeta_s) = \frac{Q}{4\pi\epsilon_o R_s L} \left(\frac{1 - \zeta_s/L}{\sqrt{(1 - \zeta_s/L)^2 + A_{r,s}^2}} + \frac{\zeta_s/L}{\sqrt{(\zeta_s/L)^2 + A_{r,s}^2}} \right) = \frac{Q}{4\pi\epsilon_o R_s L} g(\zeta_s, A_{r,s})$$



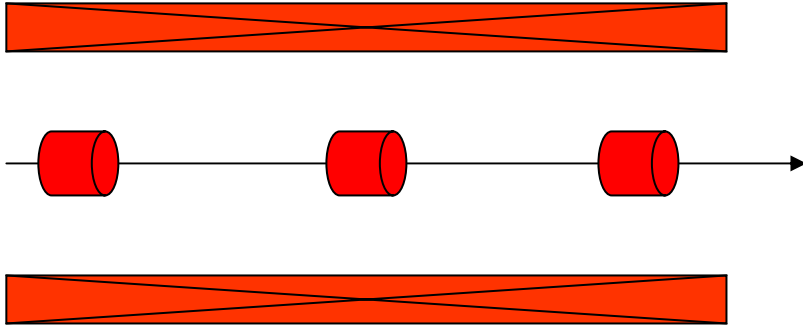
$$A_{r,s} \equiv R_s / (\gamma_s L)$$



Emittance Oscillations and Growth are driven by space charge differential defocusing in core and tails of the beam



Simple Case: Transport in a Long Solenoid

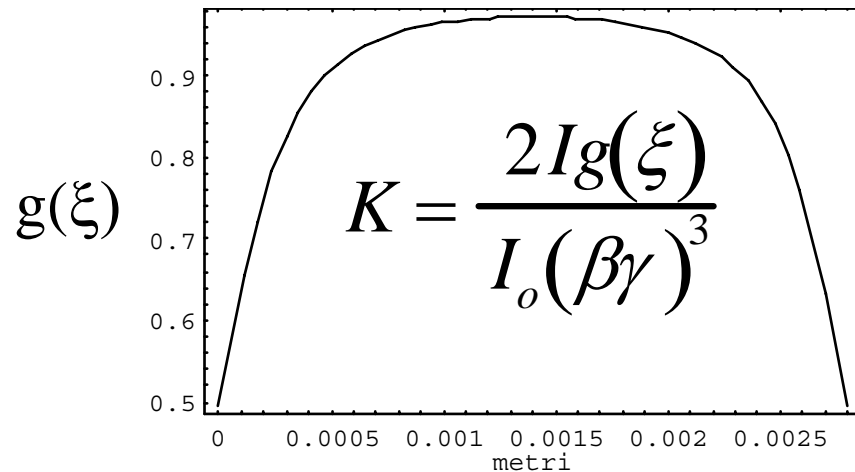


$$\sigma'' + k_s^2 \sigma = \frac{K}{\sigma}$$

$\sigma'' = 0 \implies$ Equilibrium solution ? \implies

$$\sigma_{eq}(\xi) = \frac{\sqrt{K(\xi)}}{k_s}$$

$$k_s = \frac{qB}{2mc\beta\gamma}$$



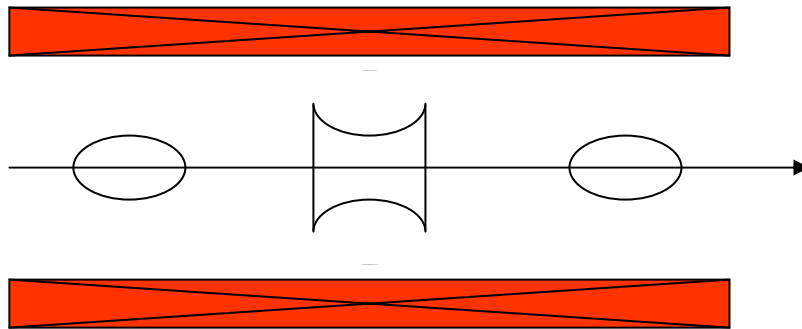
Small perturbations around the equilibrium solution

$$\sigma = \sigma_{eq} + \delta\sigma$$

$$\delta\sigma'' + 2k_s^2 \delta\sigma = 0$$

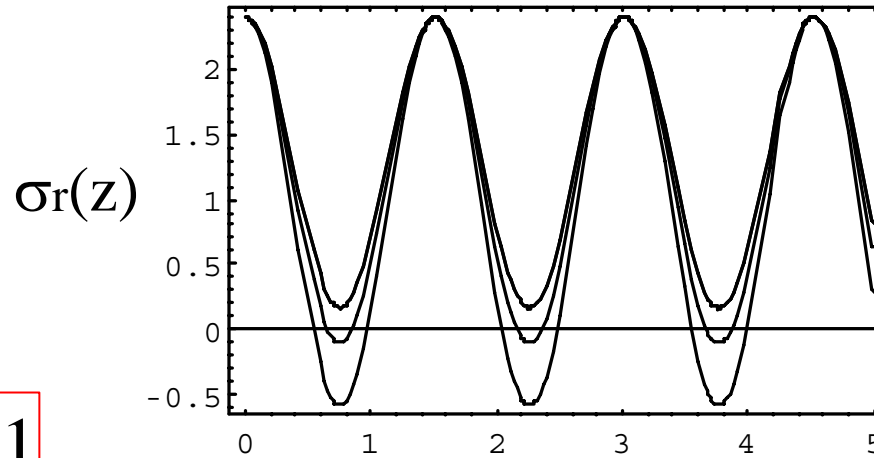
$$\sigma(\xi) = \sigma_{eq}(\xi) + (\sigma(\xi) - \sigma_{eq}(\xi)) \cos(\sqrt{2}k_s z)$$

$$\sigma'(\xi) = -\sqrt{2}k_s (\sigma(\xi) - \sigma_{eq}(\xi)) \sin(\sqrt{2}k_s z)$$



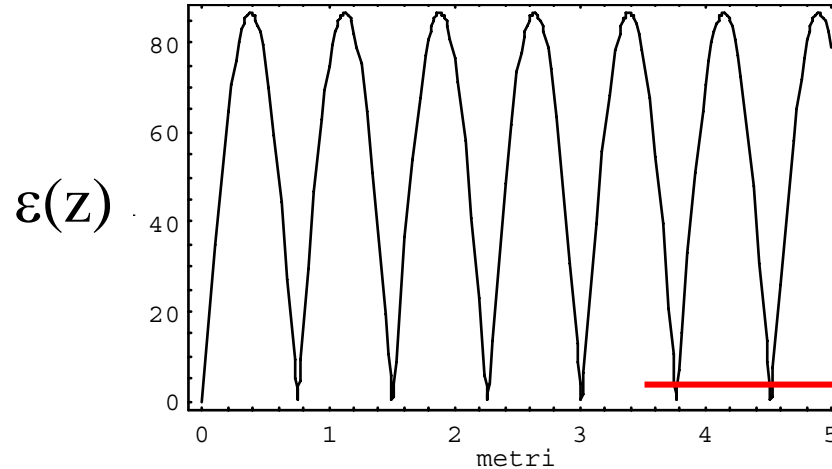
Plasma frequency

Envelope oscillations drive Emittance oscillations



$$0.5 \leq g(\xi) \leq 1$$

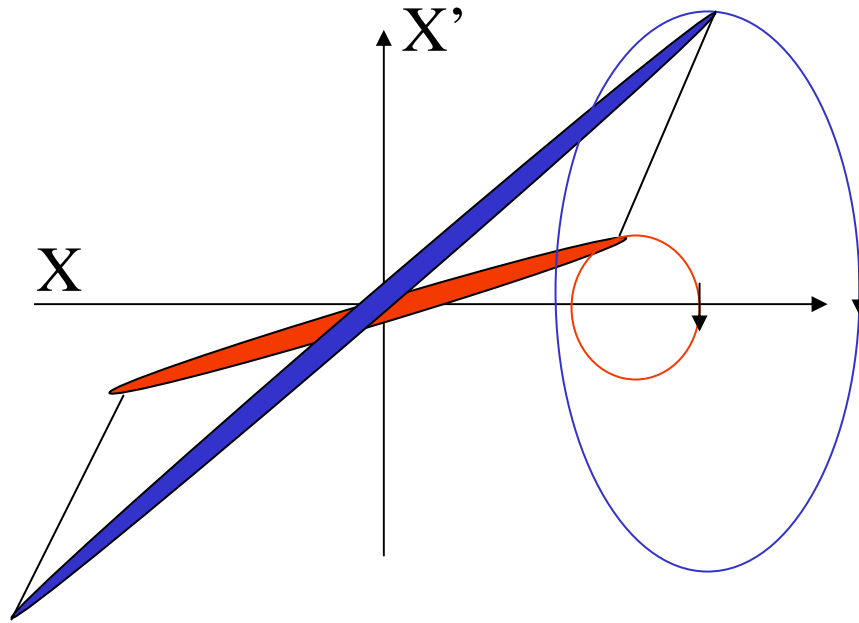
$$\frac{\delta\gamma}{\gamma} = 0$$



$$\sigma' = 0$$

$$\varepsilon(z) = \sqrt{\langle \sigma_r^2 \rangle \langle \sigma_r'^2 \rangle - \langle \sigma_r \sigma_r' \rangle^2} \div |\sin(\sqrt{2} k_s z)|$$

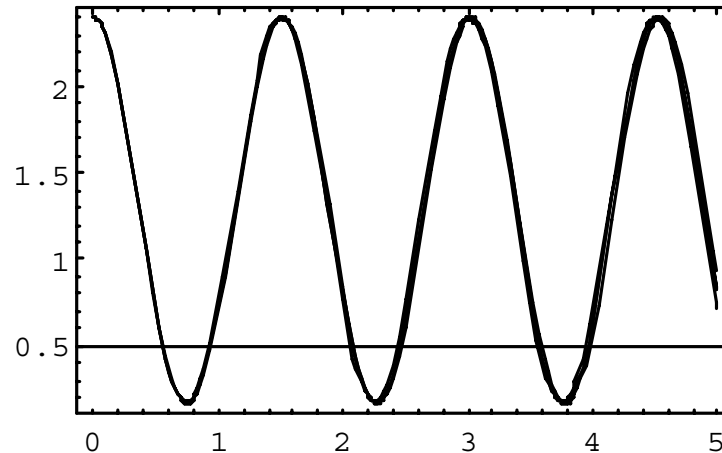
Perturbed trajectories oscillate with the same frequency but with different amplitudes



A Spread in Plasma Frequencies drives a Beating in Emittance Oscillations

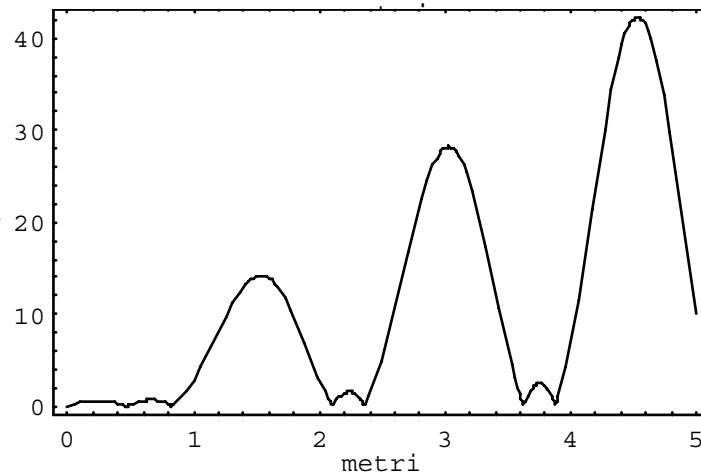
$$g(\xi) = 1$$

$\sigma_r(z)$



$$\frac{\delta\gamma}{\gamma} = 1\%$$

$\varepsilon(z)$



Beam subject to strong acceleration

$$\sigma'' + \sigma' \frac{\gamma'}{\gamma} + \sigma \frac{\Omega^2 \gamma'^2}{\gamma^2} = \frac{I}{2I_A \sigma \gamma^3} + \cancel{\frac{\varepsilon_{r,sl}^2}{\sigma^3 \gamma^2}}$$

where

$$\gamma = \gamma_0 + \gamma' z$$

$$\gamma' \equiv \frac{E_{acc}}{mc^2}$$

$$\Omega^2 = \left(\cancel{\frac{eB_{sol}}{mc\gamma'}} \right)^2 + \left\{ \begin{array}{l} \approx 1/8 \text{ SW} \\ \approx 0 \text{ TW} \end{array} \right\} \quad \begin{array}{l} \text{Normalized focusing gradient} \\ \text{(solenoid +RF foc.)} \end{array}$$

**Envelope analysis of intense relativistic quasilaminar beams in rf photoinjectors:
A theory of emittance compensation**

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Istituto Nazionale di Fisica Nucleare, Milano, Via Celoria 16, 20133 Milano, Italy

James B. Rosenzweig

Department of Physics and Astronomy, University of California, Los Angeles, 405 Hilgard Avenue, Los Angeles, California 90095-1547

(Received 11 November 1996)

Cauchy Transformation:

$$z \implies y = \ln \frac{\gamma}{\gamma_0}$$

$$\frac{d^2 \sigma}{d\sigma^2} + \Omega^2 \sigma = \frac{S(\xi)}{\sigma} e^{-y}$$

Dimensionless quantity:

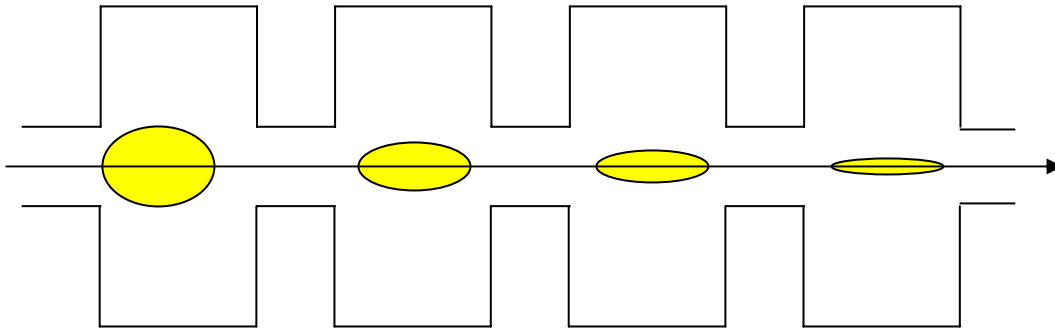
$$\tau = \frac{\sigma}{\sqrt{S}}$$

$$\frac{d^2 \tau}{dy^2} + \Omega^2 \tau = \frac{1}{\tau} e^{-y}$$

Particular Solution:

$$\tau = 2 \sqrt{\frac{e^{-y}}{1 + 4\Omega^2}}$$

Back to Real World: Invariant Envelope Solution



$$\sigma_{INV} = \frac{1}{\gamma'} \sqrt{\frac{2I(\zeta)}{I_A(1+4\Omega^2)\gamma}}$$

This solution represents a **beam equilibrium mode** that turns out to be the transport mode for achieving minimum emittance at the end of the **emittance correction process** (L.S and J.B.R., *PRE* **55** (1997) 7565)

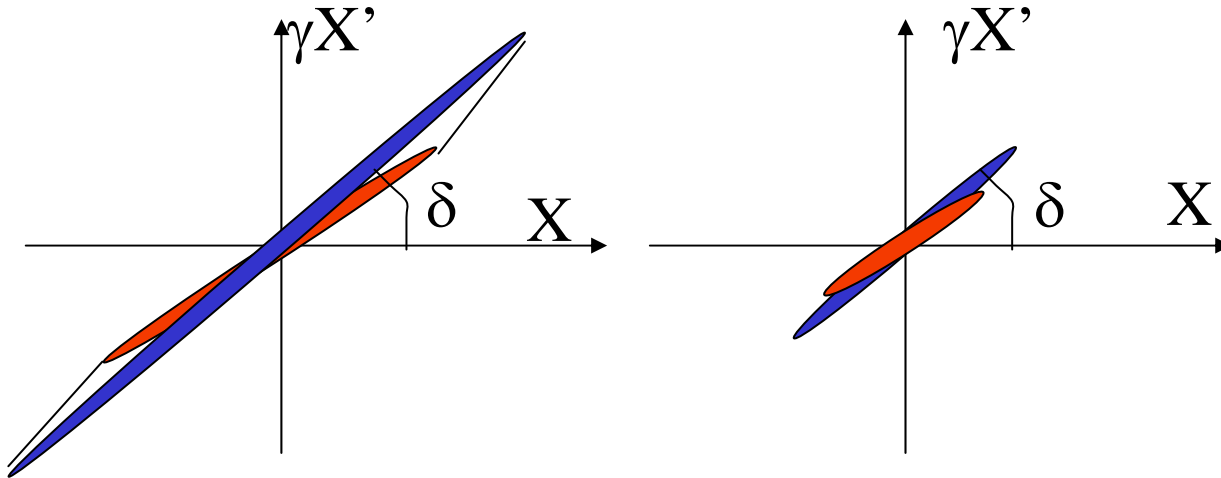
An important property of the Invariant Envelope

$$\sigma_{INV} = \frac{1}{\gamma'} \sqrt{\frac{2I(\zeta)}{I_A(1+4\Omega^2)\gamma}}$$

$$\sigma'_{inv} = \frac{1}{\gamma'} \sqrt{\frac{I(\zeta)}{2I_A(1+4\Omega^2)\gamma_o^{3/2}}}$$

Constant phase space angle:

$$\delta = \frac{\gamma\sigma'_{inv}}{\sigma_{inv}} = -\frac{\gamma'}{2}$$



Small perturbations around the equilibrium solution

$$\delta\sigma = \delta\sigma_o \cos(\psi) + \sqrt{2} \frac{\gamma_o}{\gamma'} \delta\sigma'_o \sin(\psi)$$

$$\delta\sigma' = -\frac{1}{\sqrt{2}} \frac{\gamma'}{\gamma} \delta\sigma_o \sin(\psi) + \delta\sigma'_o \frac{\gamma_o}{\gamma} \cos(\psi)$$

$$\psi = \frac{1}{\sqrt{2}} \ln\left(\frac{\gamma}{\gamma_o}\right)$$

$$\delta\sigma_o = \sigma_o - \sigma_{INV}$$

Emittance Oscillations

$$\Delta \varepsilon_n(z) \cong \frac{\delta \sigma_0}{\gamma'} \sqrt{\frac{I/I_0}{2\gamma}} |\cos(\psi) - \sqrt{2} \sin(\psi)|$$

Envelope Oscillations drive **emittance oscillations** $\Delta \varepsilon_n \propto \frac{\delta \sigma}{\sqrt{\gamma}}$

and are dumped by acceleration $\psi = \frac{1}{\sqrt{2}} \ln\left(\frac{\gamma}{\gamma_0}\right)$

Laminarity Parameter

$$\sigma_{INV} = \frac{1}{\gamma'} \sqrt{\frac{2I(\zeta)}{I_A(1+4\Omega^2) \gamma}}$$

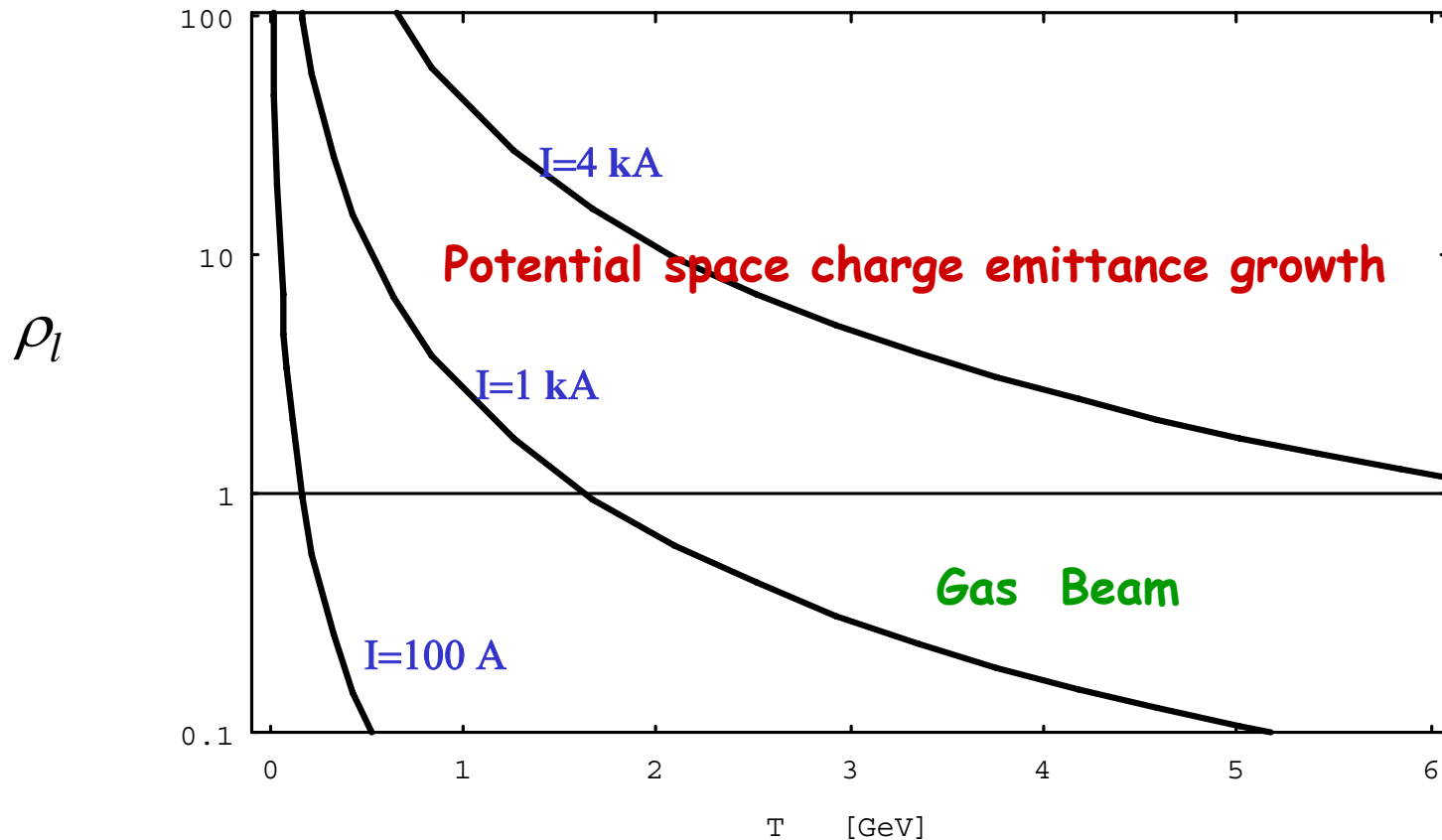
$$\rho = \frac{I\sigma^2}{2\gamma I_A \varepsilon_n^2} = \left(\frac{I}{2\gamma I_A \varepsilon_n} \frac{1}{\gamma' \sqrt{1/4 + \Omega^2}} \right)^2$$

Typical X-FEL Beam

If $\varepsilon_{nth} = 0.3 \text{ mm.mrad} @ 1 \text{ nC}$

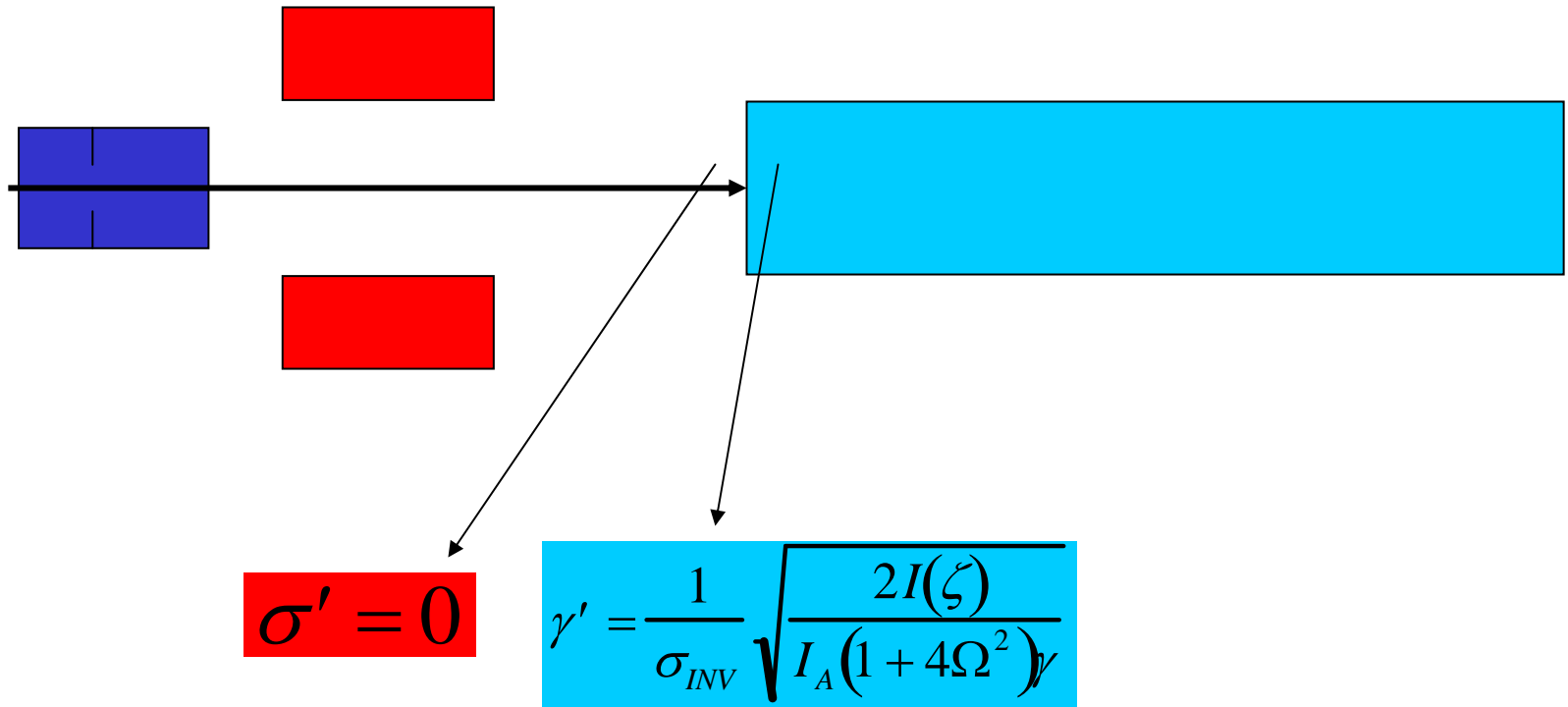
$I_0 = 17 \text{ kA}$ $\Omega^2 \cong 1/8$ (SW acc. str.)

$\gamma' = 50 \text{ m}^{-1} \Leftrightarrow E_{acc} = 25 \text{ MV/m}$

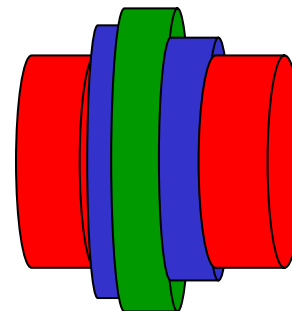
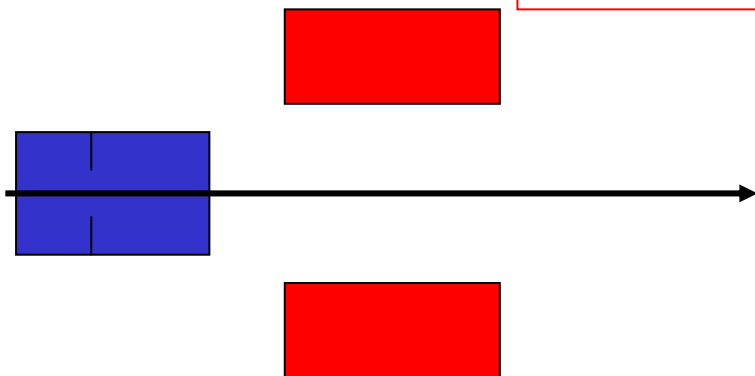


The New working Point for a Split RF Photoinjector

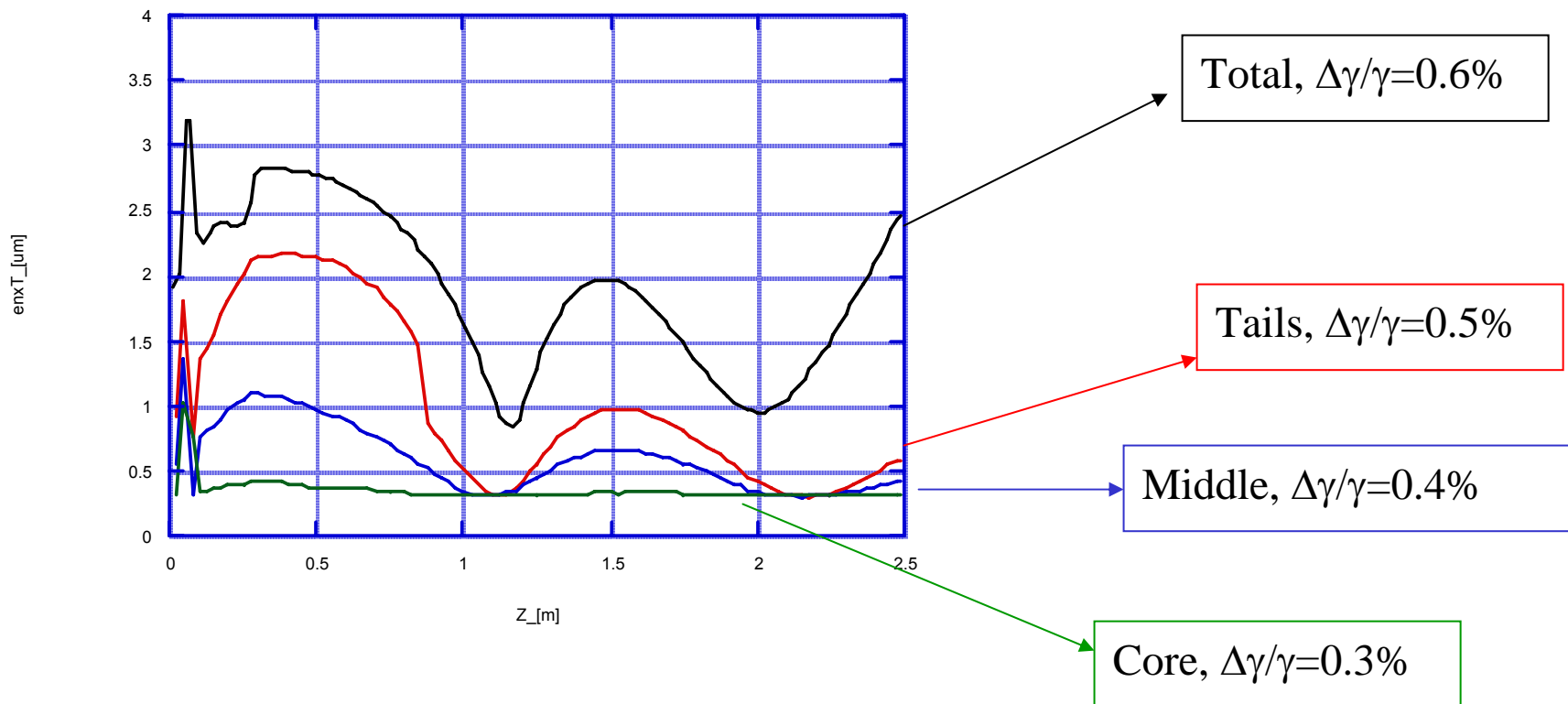
Adopted by LCLS, TESLA-XFEL, ORION, SPARC,...



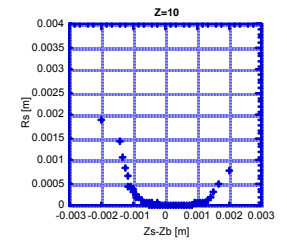
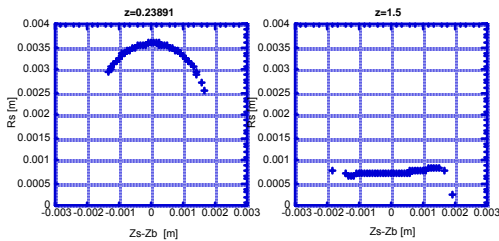
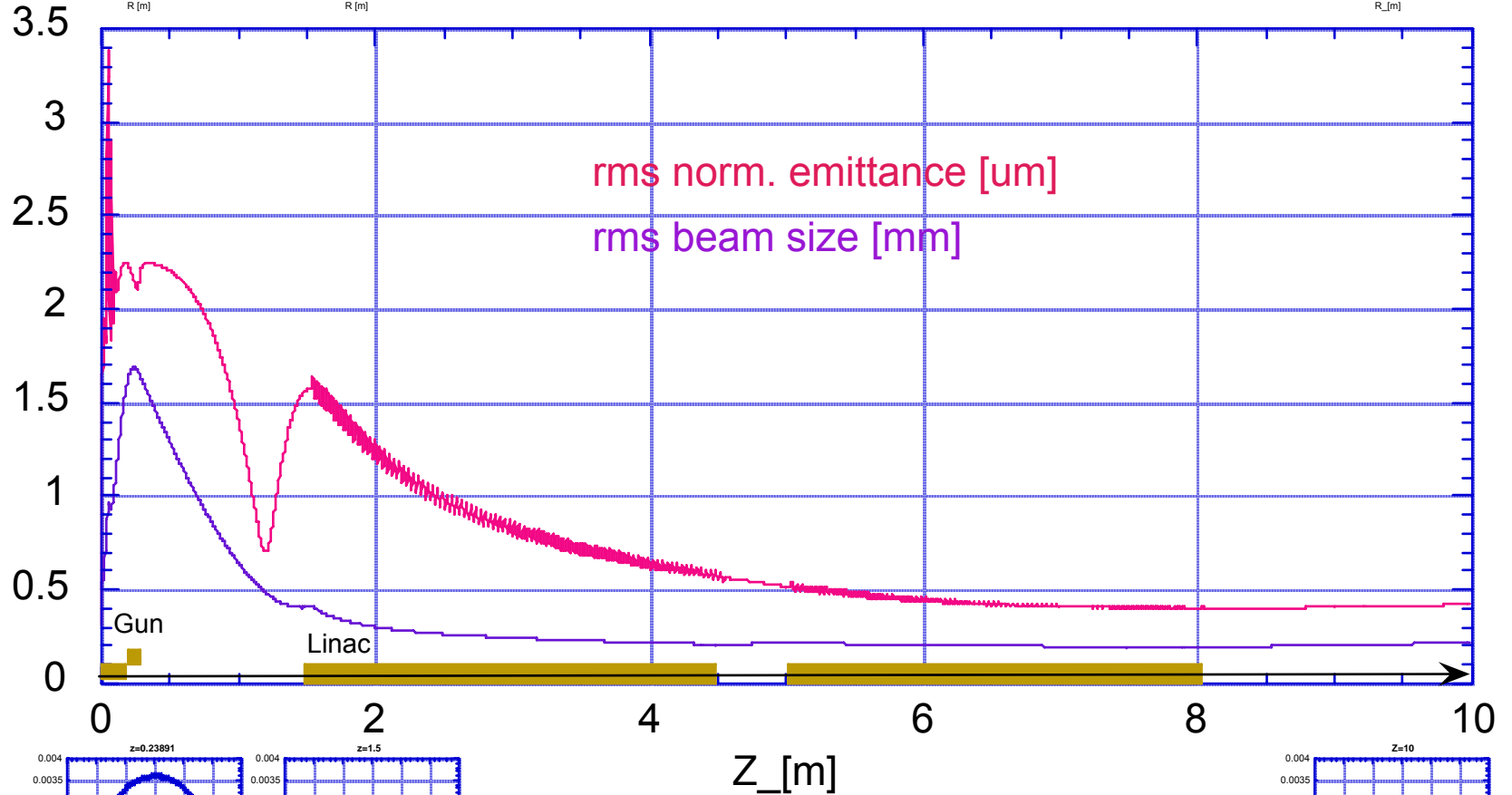
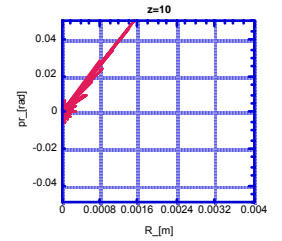
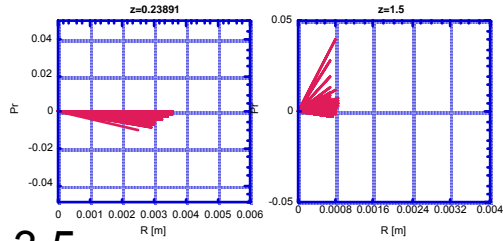
Realistic Case



Slice emittance

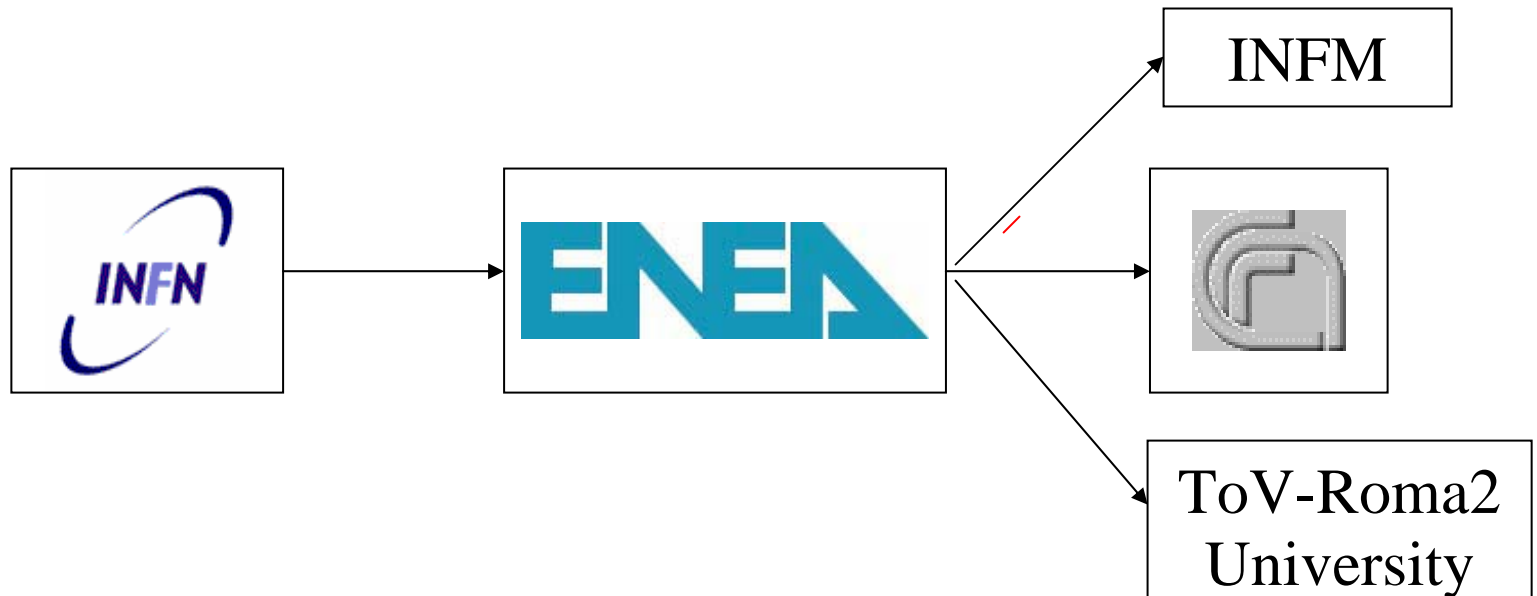


HOMDYN



The SPARC FEL Project

On behalf of the SPARC study group



SPARC Study Group

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Ronsivalle

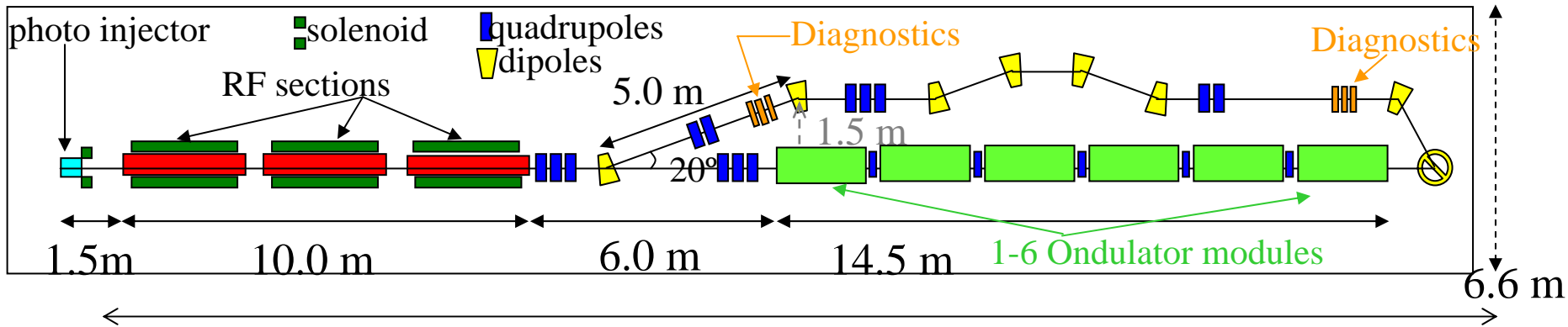
(ENEA/FIS)

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C. Quaresima, N. Zema

(CNR)

150 MeV Photo-injector R&D Project to investigate High Brightness e⁻ Beam Production for SASE-FEL experiments



Frequency: 2856 MHz

GUN PARAMETERS

Peak Field: 120-140 MV/m (15 MW)

Solenoid Field: 0.3 Tesla

Charge: 1 nC

Laser: 10 ps x 1 mm (Flat Top)

Normal Conducting

LINAC PARAMETERS

Accelerating Field: 25-30 MV/m (50 MW)

Solenoid Field: 0.1 Tesla

Beam Energy: 150 MeV

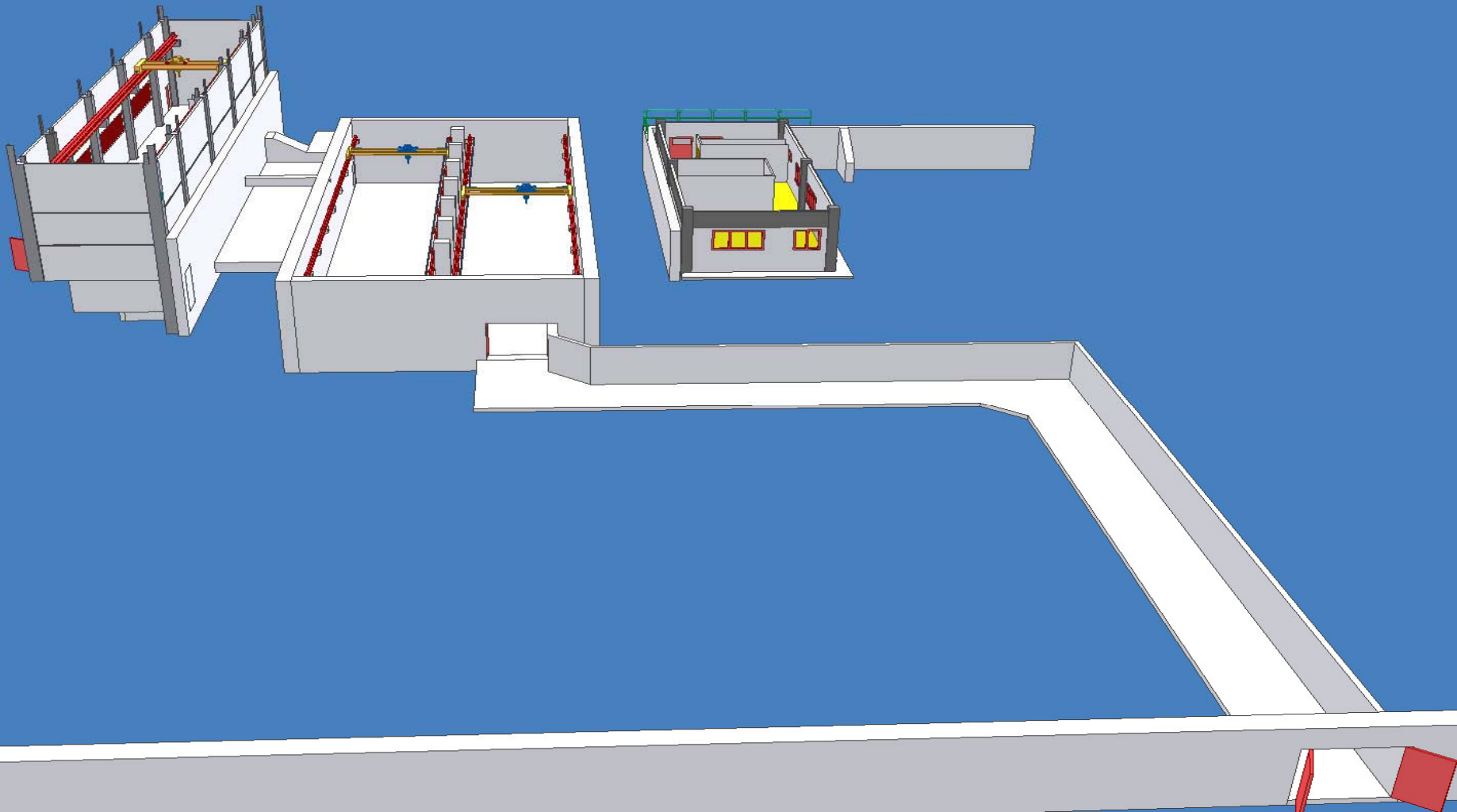
SPARC Linac: the Time Table

đ	1 st year				2 nd year				3 rd year			
1.1 Laser	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ
1.2 RF Gun	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ
1.3 Linac	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ
1.4 Diagn.-contr.	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ
1.5 Commiss.	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ	đ

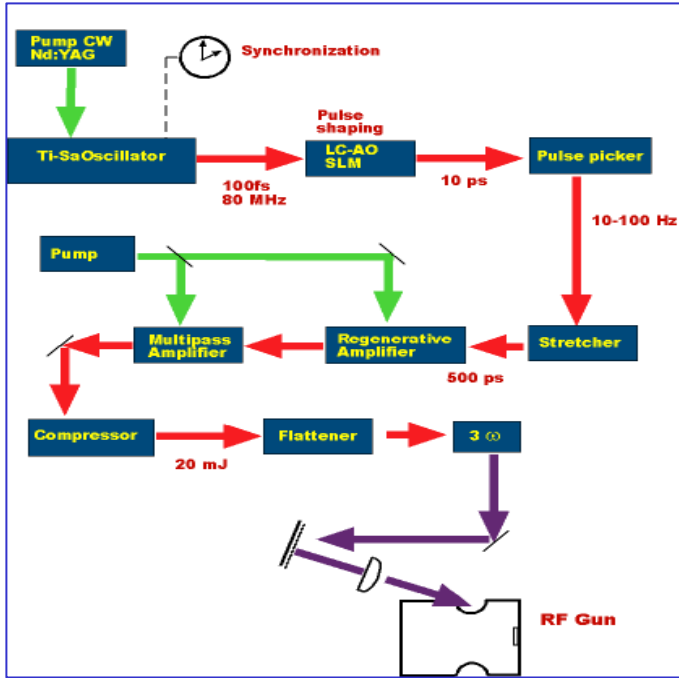
design	acquisition	assembling	test
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We are waiting for delivery of the funding to our Institutions:
released by a Techn. Committee of the Res. Department (MIUR)

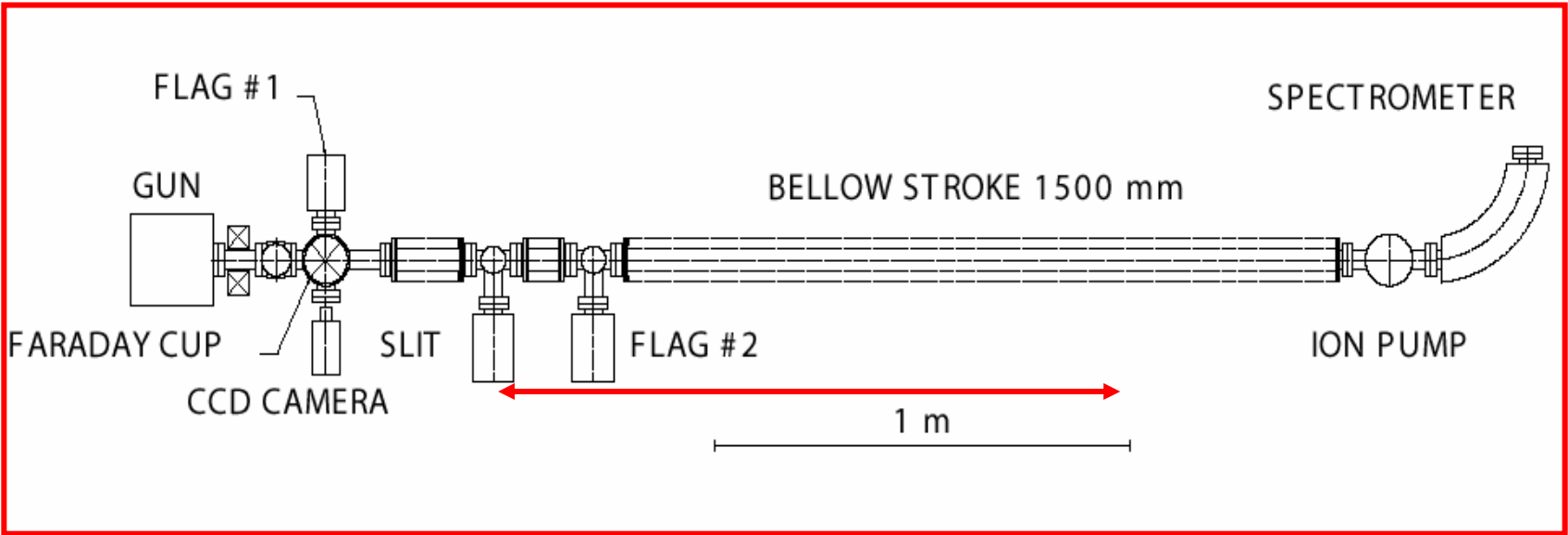
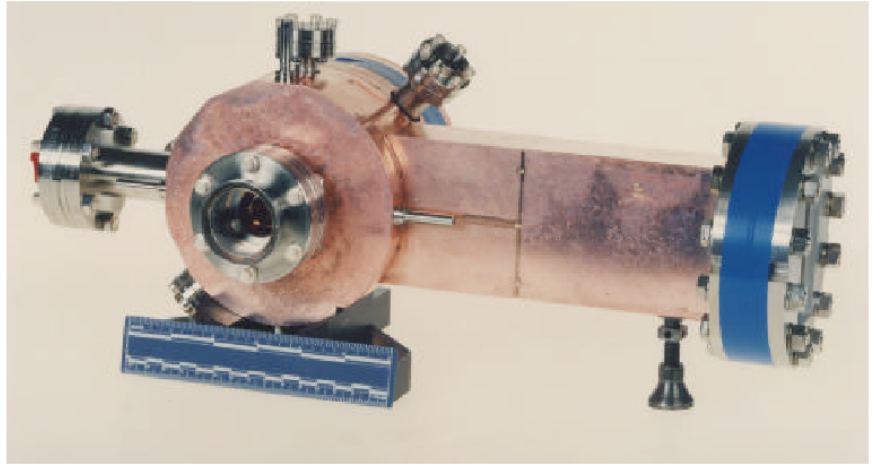
A view of the complex with Shielding Ground and building roof removed



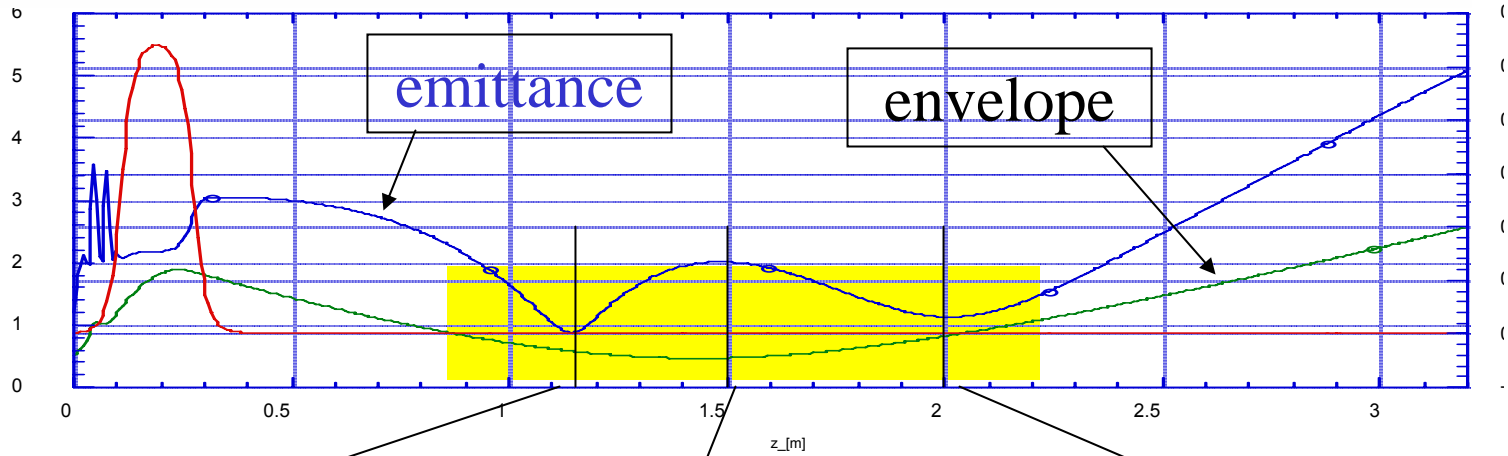
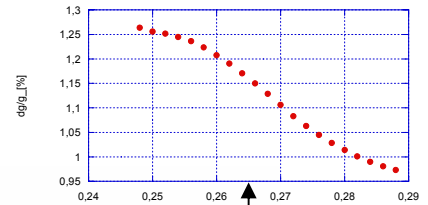
SPARC-Phase 1



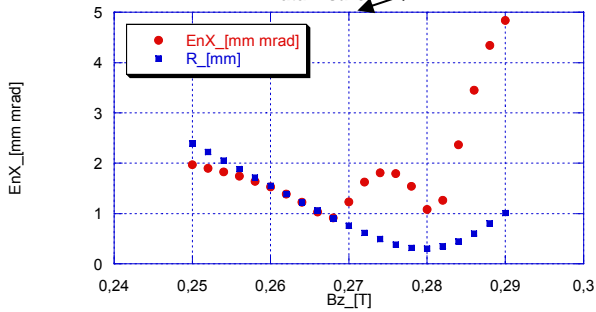
BNL/SLAC/UCLA 1.6 cell S-Band RF GUN



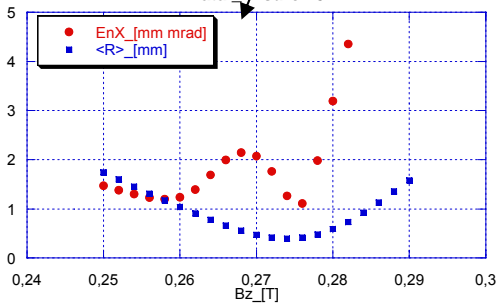
Movable Emittance-Meter



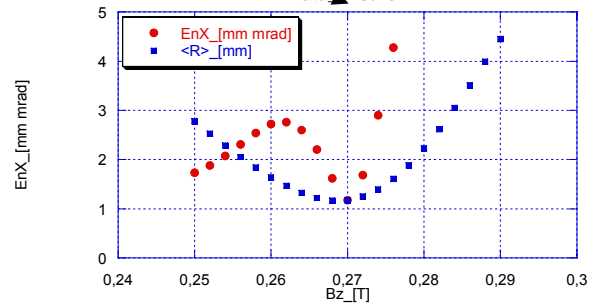
DataMeasure1.2QDA



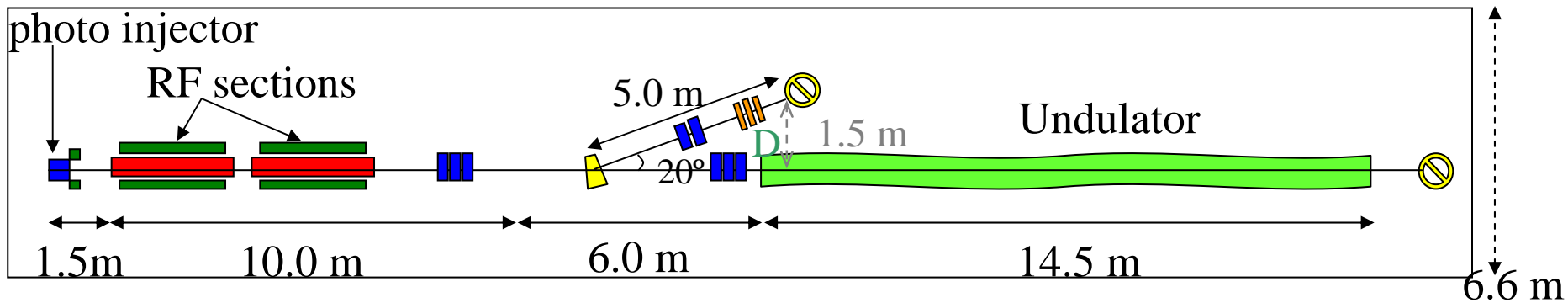
Data_misure1.5



Data_misure2

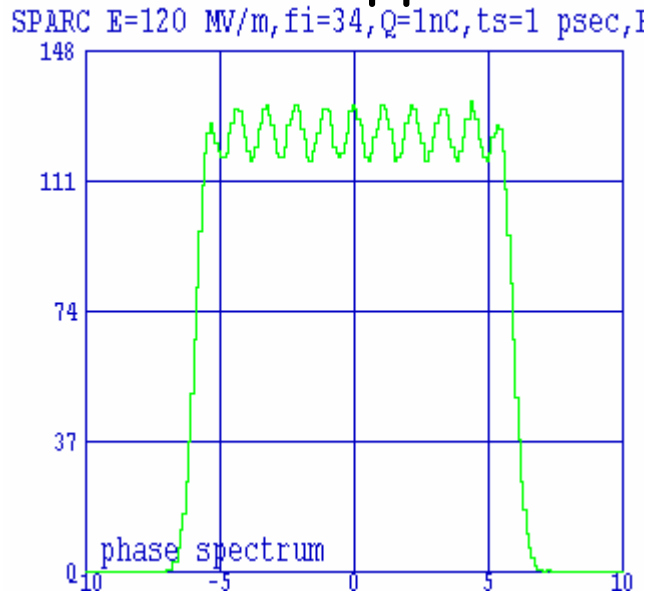


SPARC-Phase 2



Boscolo/Ronsivalle PARMELA

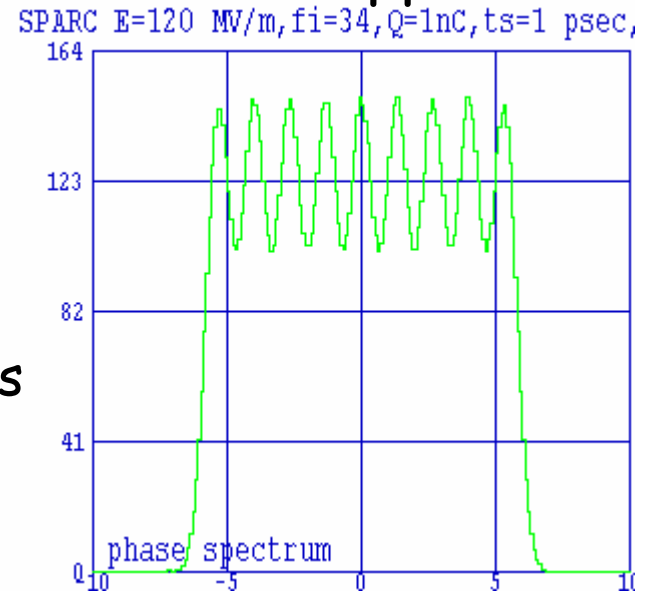
10% Ripple



(A)

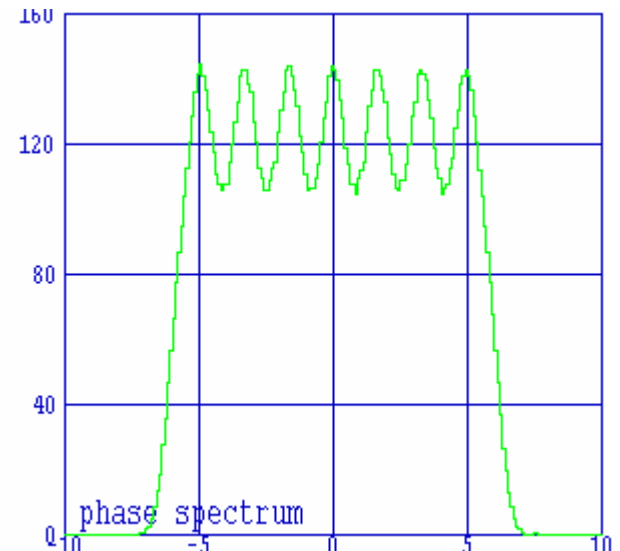
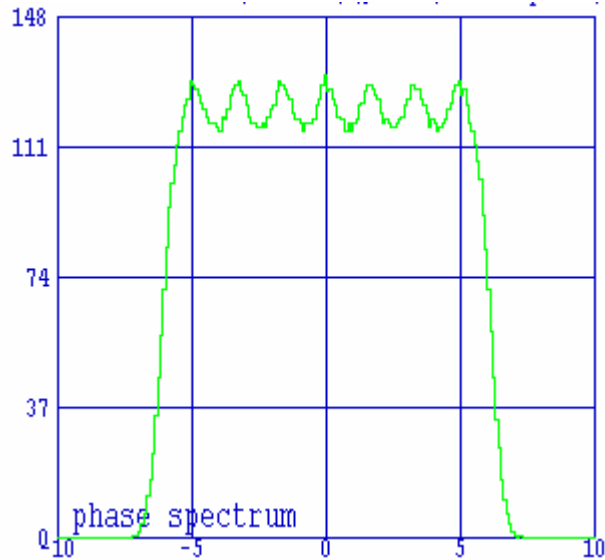
Rise time < 1ps

30% Ripple



(B)

Rise time = 1ps

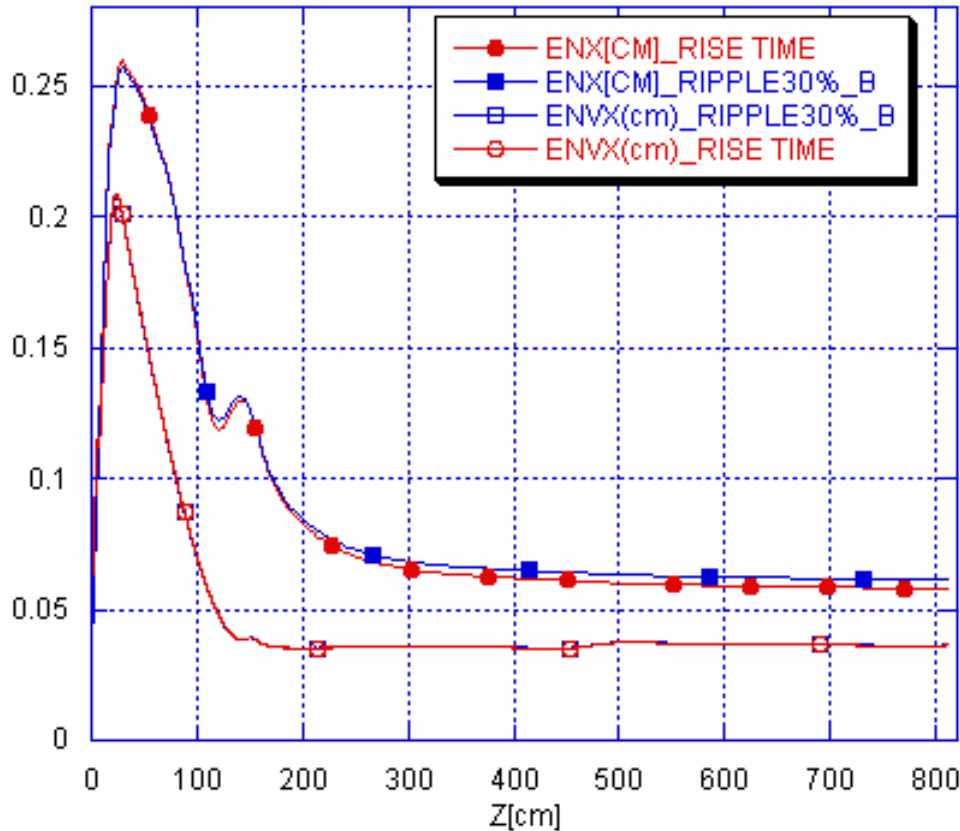


Gun-end linac simulations

B(first linac section)= stack of 11 Helmholtz coils- Sanelli

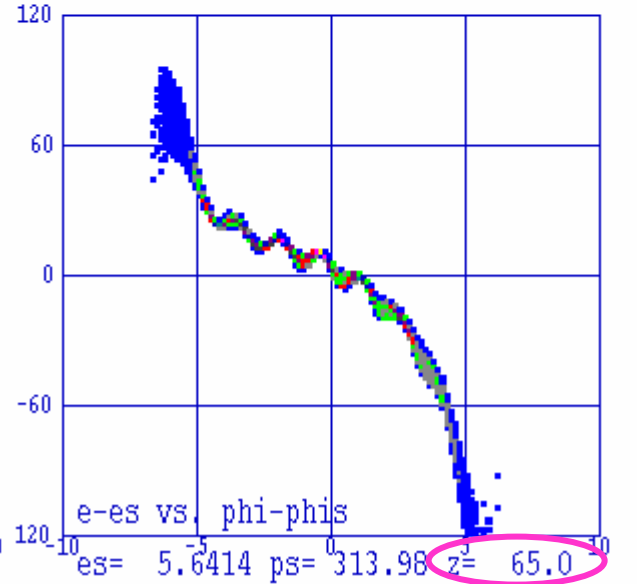
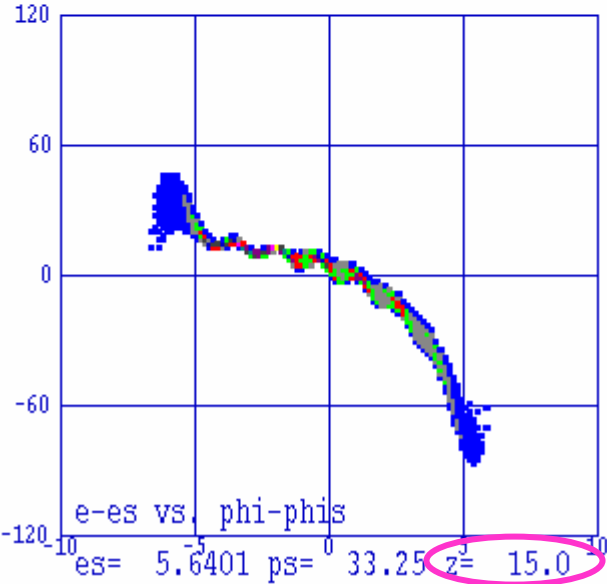
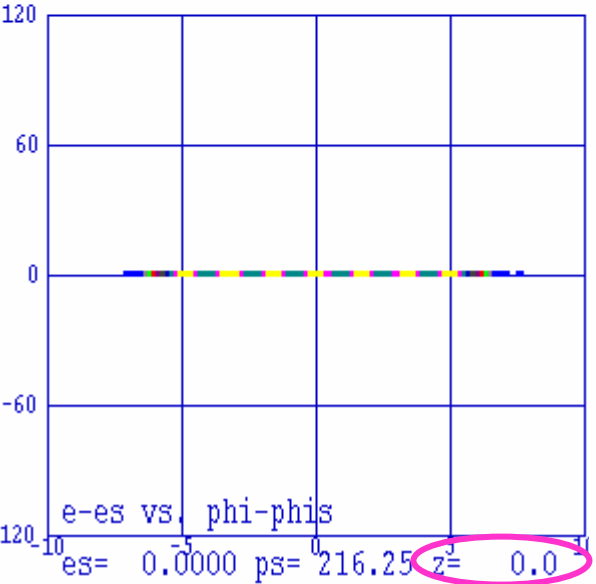
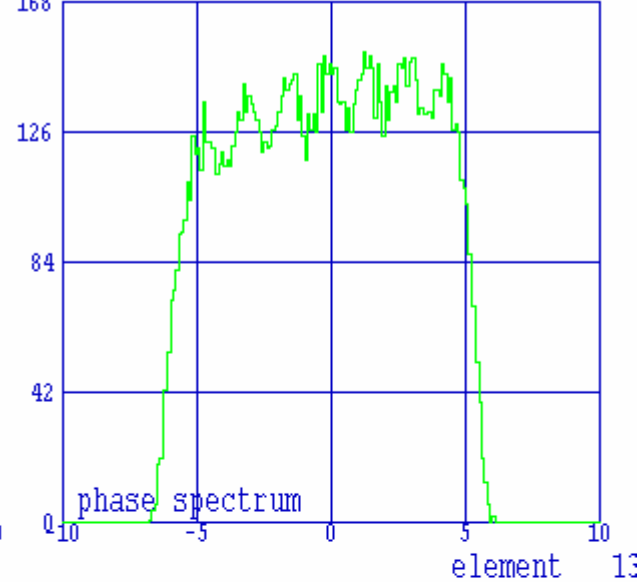
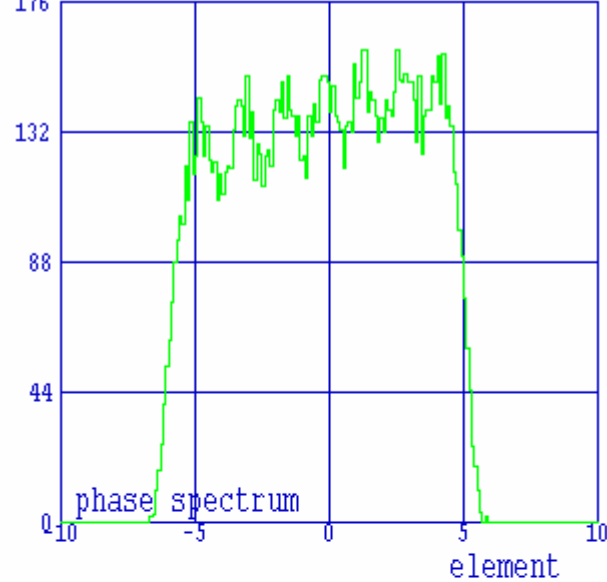
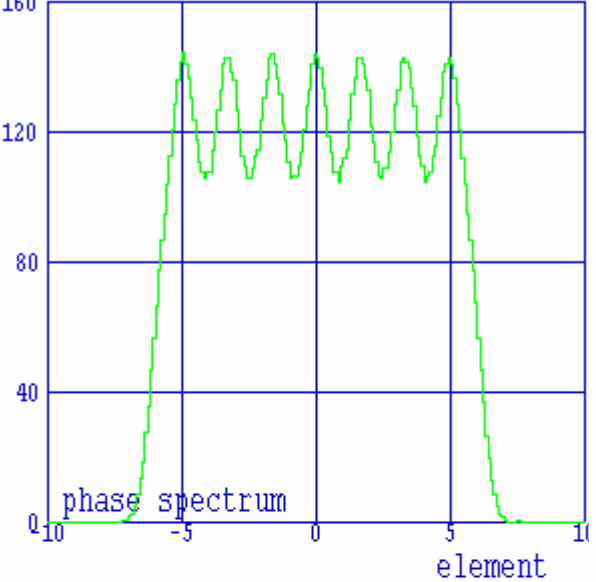


B(gun)=0.273T PHI=33deg B=600G



beam distribution	ϵ [mm mrad]
Rise time 1ps	0.58
30% ripple	0.62
10% ripple	0.58

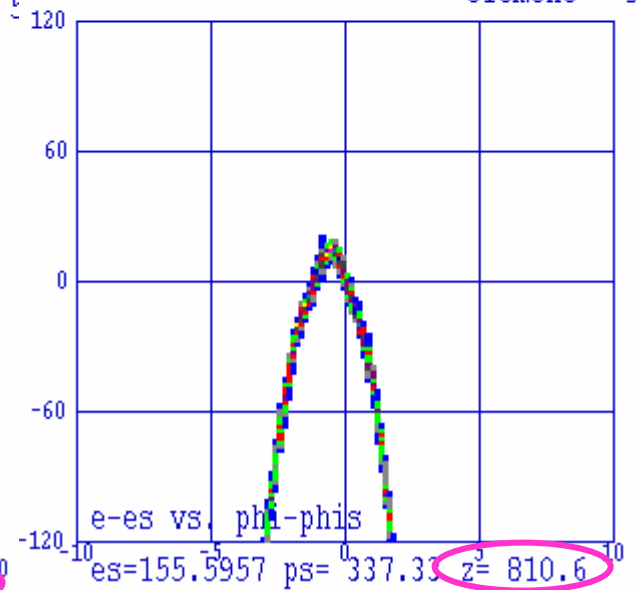
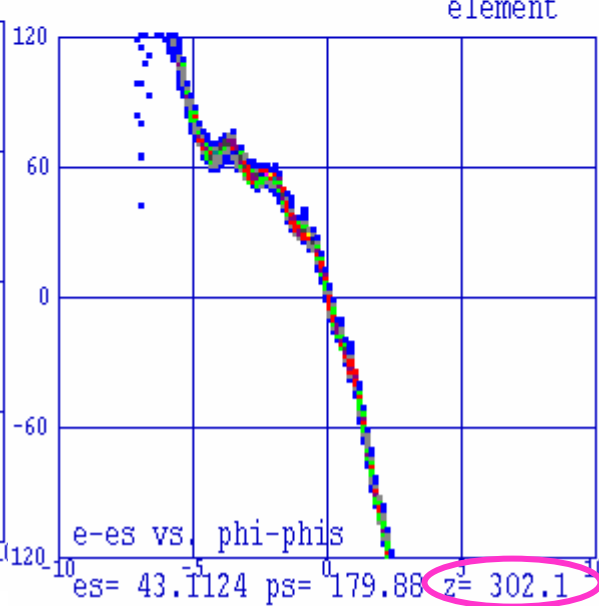
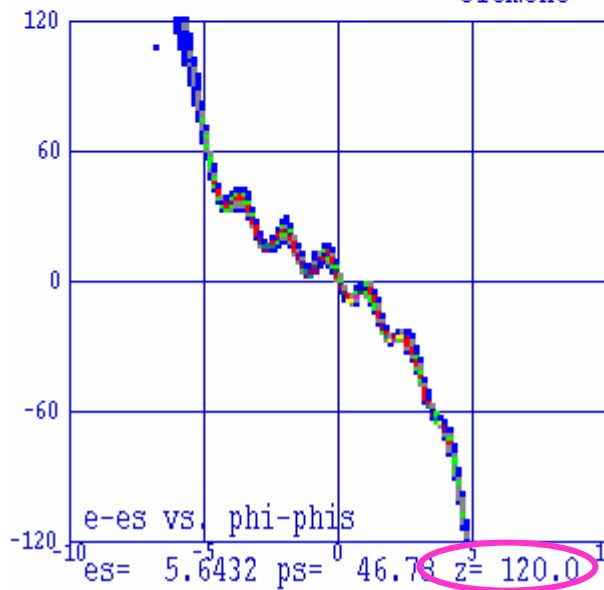
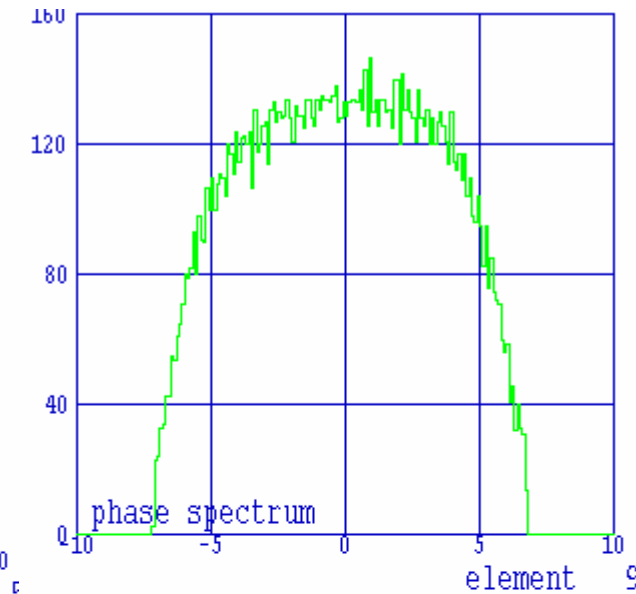
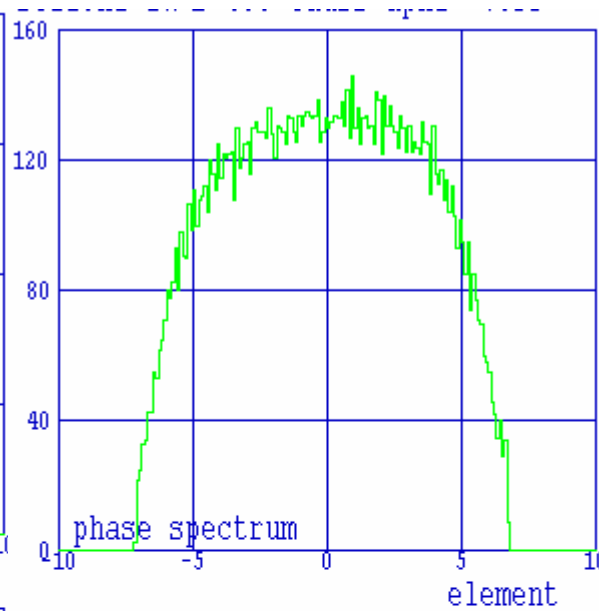
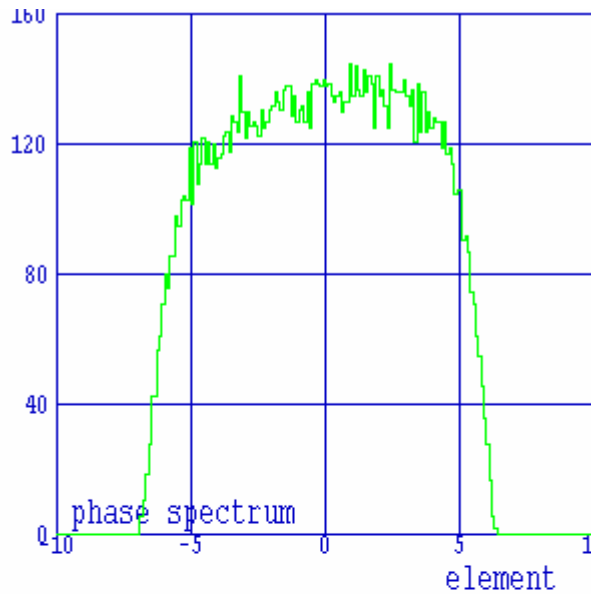
Beam distribution with ripple \Rightarrow NOT expected significant changes!



z=0 @cathode

z=15cm end gun

z=65cm



z=1.2 m start linac

z=3.0m

z=8.1 m end linac

Low-emittance electron-beam generation with laser pulse shaping in photocathode radio-frequency gun

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(Received 17 December 2001; accepted for publication 26 April 2002)

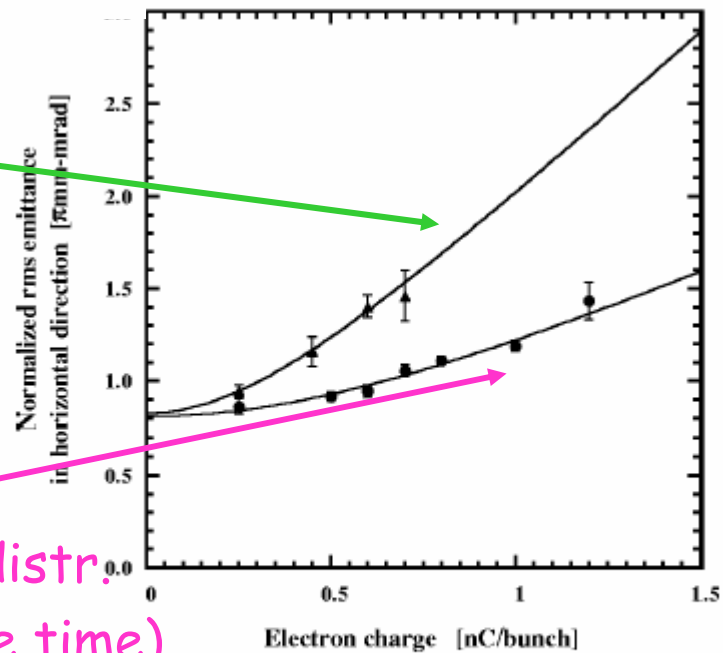
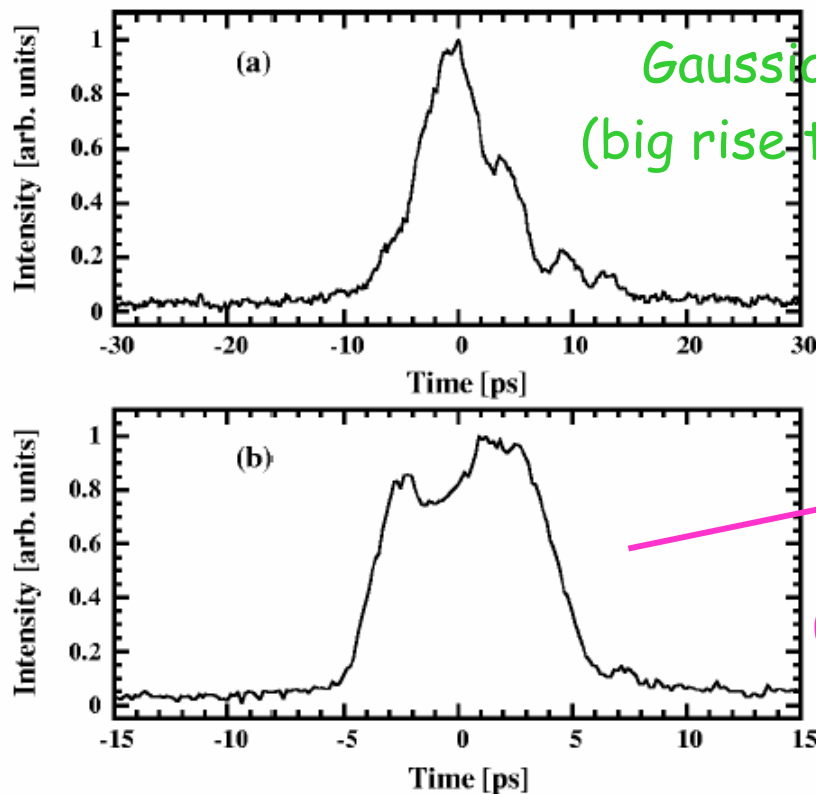
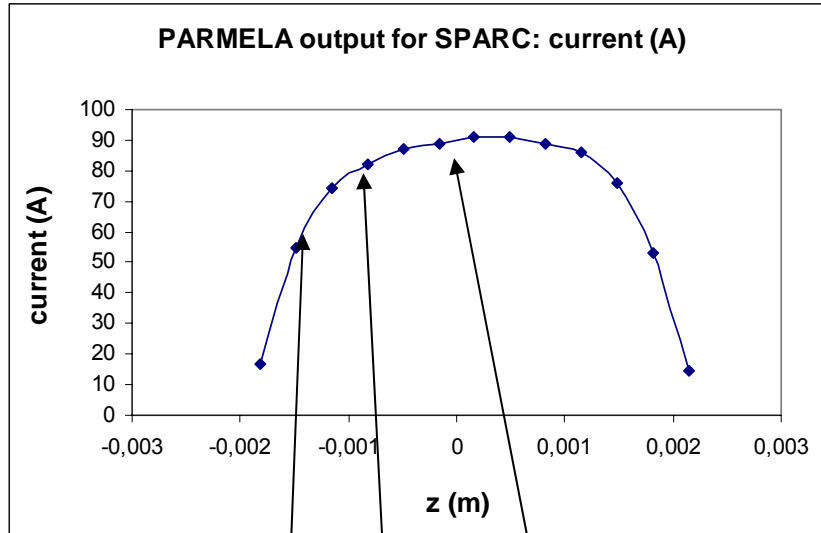


FIG. 6. The normalized rms horizontal emittance measured as a function of the electron charge with the gaussian (uptriangle) and square (circle) temporal pulse shape with a pulse length of 9 ps FWHM. The fit is plotted as solid lines with Eq. (2).

FIG. 2. The temporal distributions of shaped gaussian (a) and square (b) UV laser pulses with a pulse length of 9 ps FWHM.

PARMELA output for SPARC

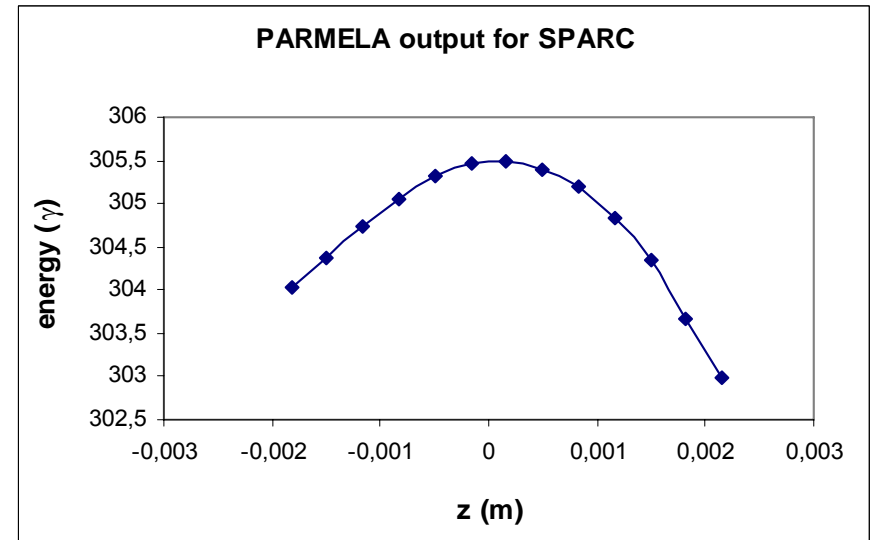
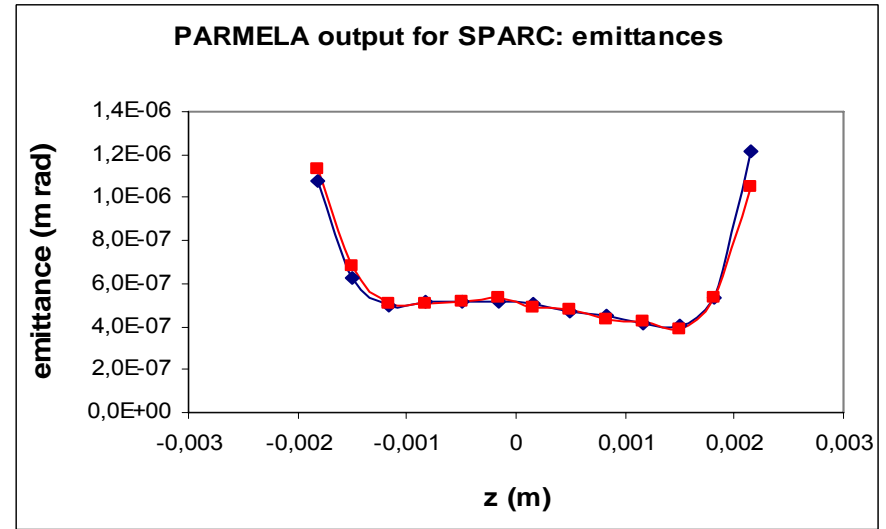
3 groups of “GENESIS slices” were chosen at different current



61 A

89 A

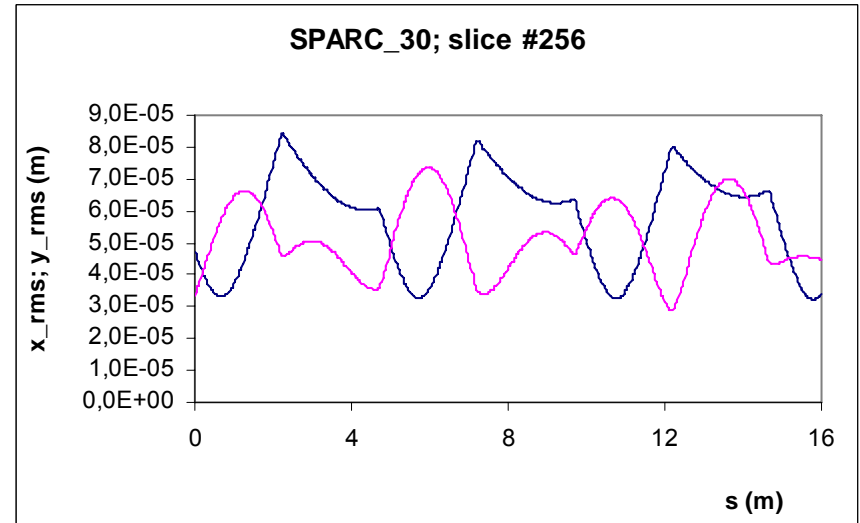
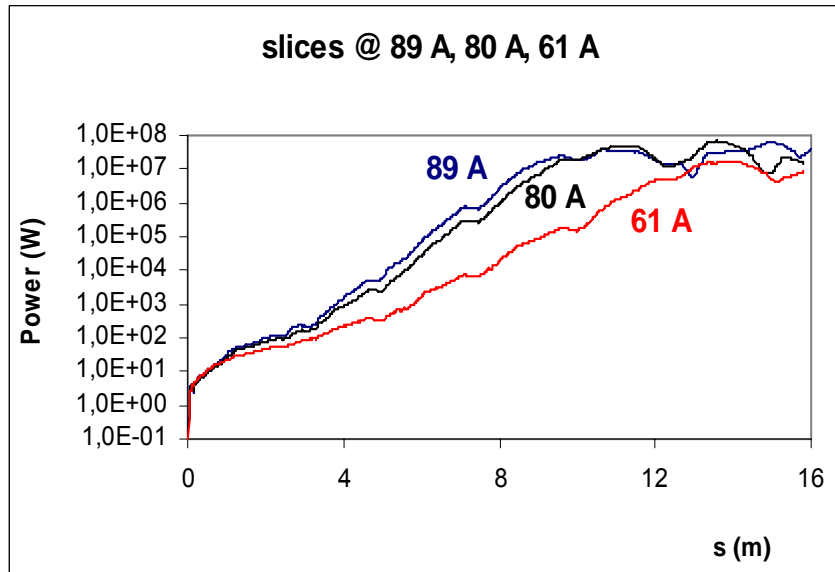
80 A



(L. Giannessi-R. Bartolini)

SPARC time – dependent GENESIS simulations;

$$\lambda = 485.5 \text{ nm}; L_{\text{sat}} = 11.0 \text{ m}$$



@ 89 A

$$\varepsilon = 0.5 \cdot 10^{-6} \text{ m rad}$$

$$\sigma_{\varepsilon} = 10^{-4}$$

$$\alpha_x = 1.06$$

$$\alpha_y = -0.57$$

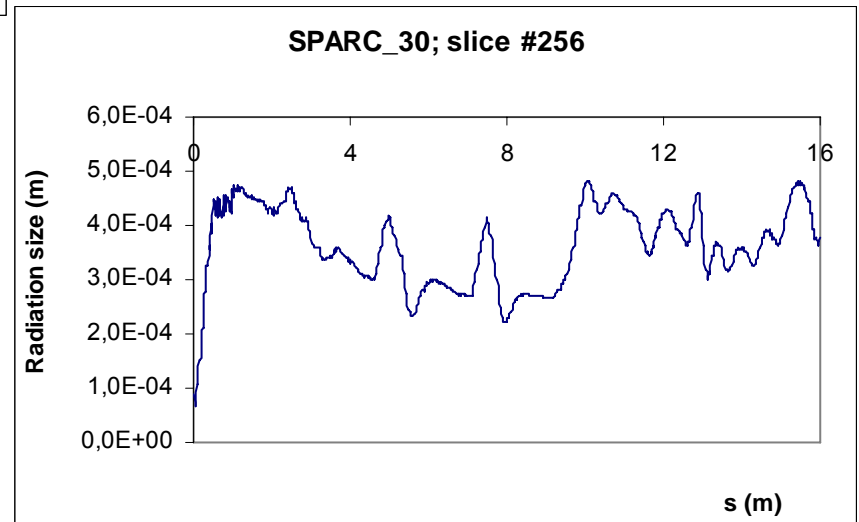
$$\rho = 7.2 \cdot 10^{-3}$$

$$\lambda_w = 3.3 \text{ cm}$$

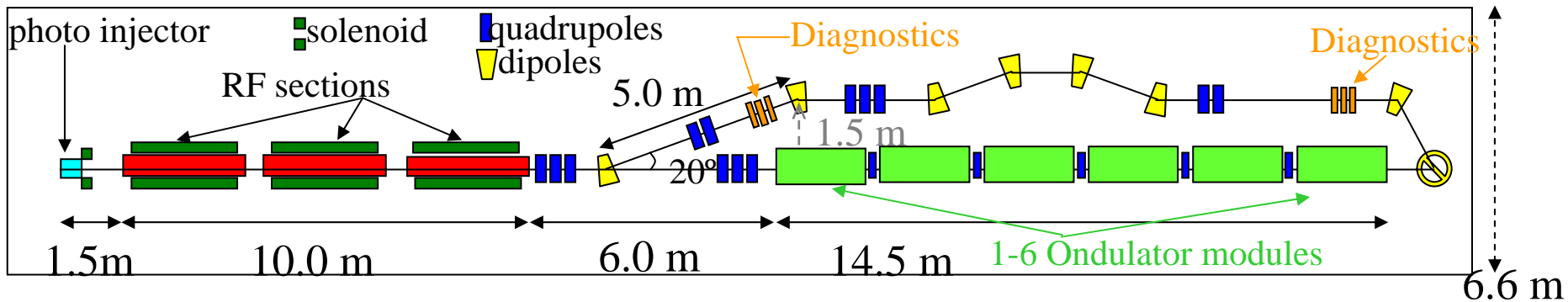
$$k_w = 1.88$$

$$\alpha_x = 0.76$$

$$\alpha_y = -0.59$$



SPARC-Phase 3

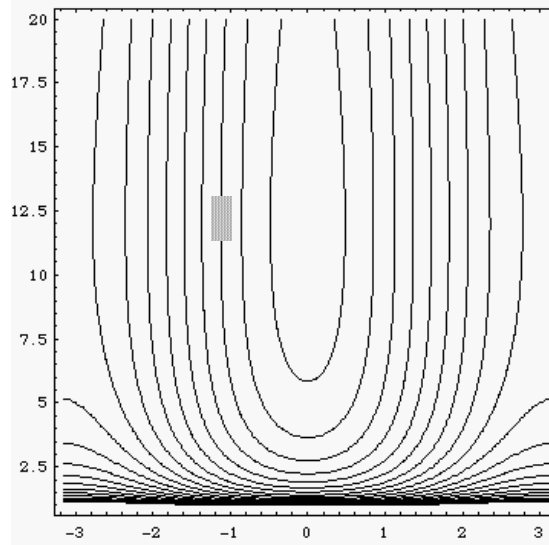


Velocity Bunching in Photoinjectors i.e. Compression during Acceleration

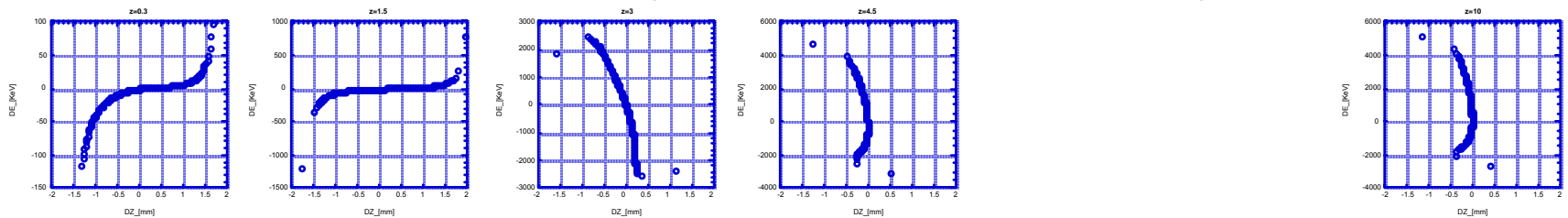
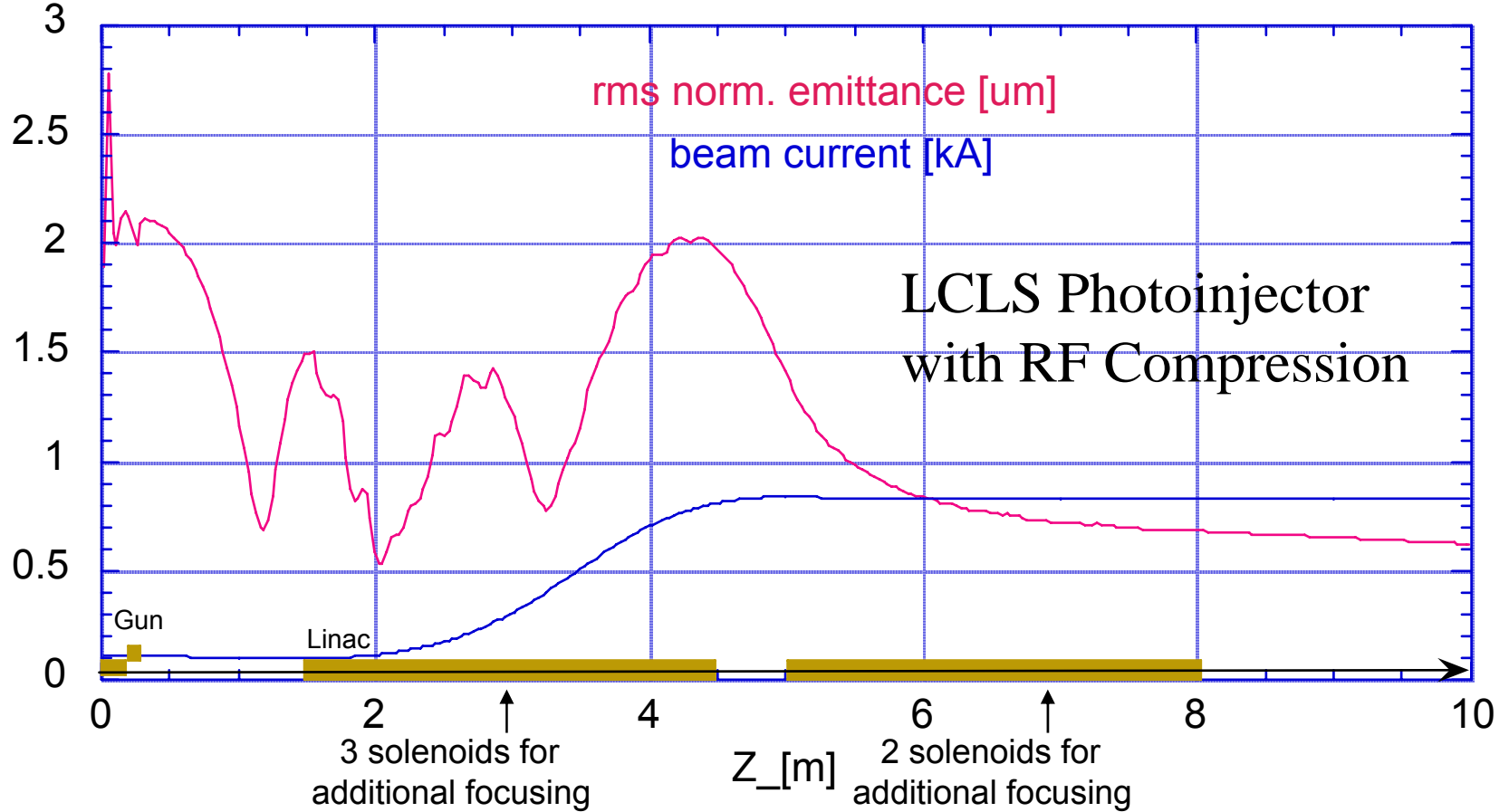
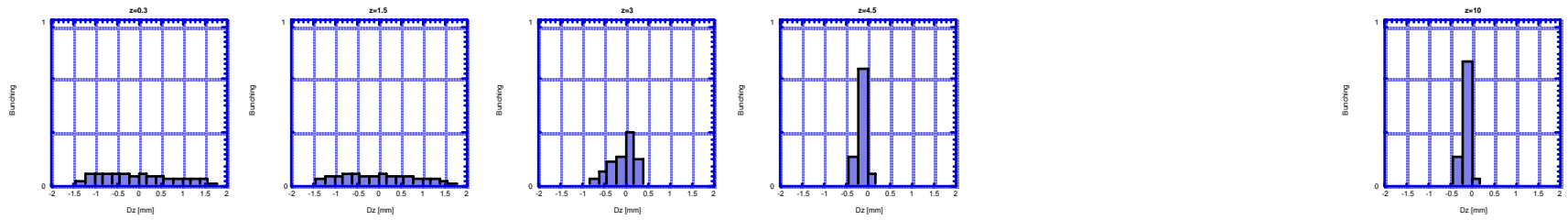
- Alternative option of **bunch compression** \Rightarrow high brightness sub-ps beams (as needed by X-Ray SASE Fel's)
- Compression is **rectilinear** (no Coherent Synch. Radiation effects), based on *longitudinal focusing* in **slow RF waves**
- Performed at low energy (10-80 MeV), **fully integrated** into the **emittance correction process** (for maximum brightness)

A quarter synchrotron oscillation gives phase compression

- By *Injecting* at $\gamma = \gamma_r$ and *extracting* at $\xi = 0^\circ$ we perform an **energy spread enhancement** associated to a **phase spread reduction**



Zoom-in of the diagram plotted in previous transp. corresponding to $\gamma \leq 20$.



Transverse Dynamics of a laminar plasma-beam subject to Velocity Bunching

- Assuming a **current growing** at the same rate as the beam energy the envelope equation becomes

$$I = \frac{I_0 \gamma}{\gamma_0} \quad \sigma'' + \sigma' \frac{\gamma'}{\gamma} + \sigma \frac{\Omega^2 \gamma'^2}{\gamma^2} - \frac{I_0}{2I_A \sigma \gamma_0 \gamma^2} = 0$$

- and the *new (exact) solution* is

$$\sigma_{RFC} = \frac{1}{\Omega \gamma'} \sqrt{\frac{I_0}{2I_A \gamma_0}}$$

- RF Compression Invariant Envelope
with **same plasma frequency as the TE**
No beam confin. without external focusing

$$k_p^{RFC} = \frac{\Omega \gamma'}{\sqrt{2} \gamma}$$

Three Conditions to preserve emittance while bunching

- **current growing** at the same rate as the beam energy
(velocity bunching !, not ballistic) $\frac{I}{\gamma} = const.$

- *(additional external focusing to match onto a parallel envelope (I.E. RFC solution))*

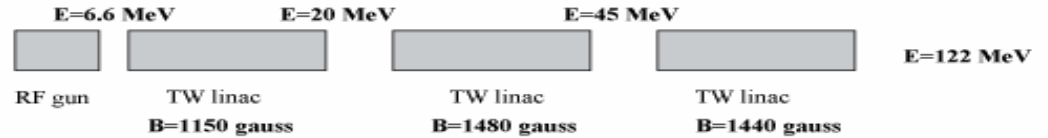
$$\sigma_{RFC} = \frac{1}{\Omega\gamma'} \sqrt{\frac{I_0}{2I_0\gamma_0}}$$

- RF compressor accelerating section longer than a plasma wavelength (2-3 m)

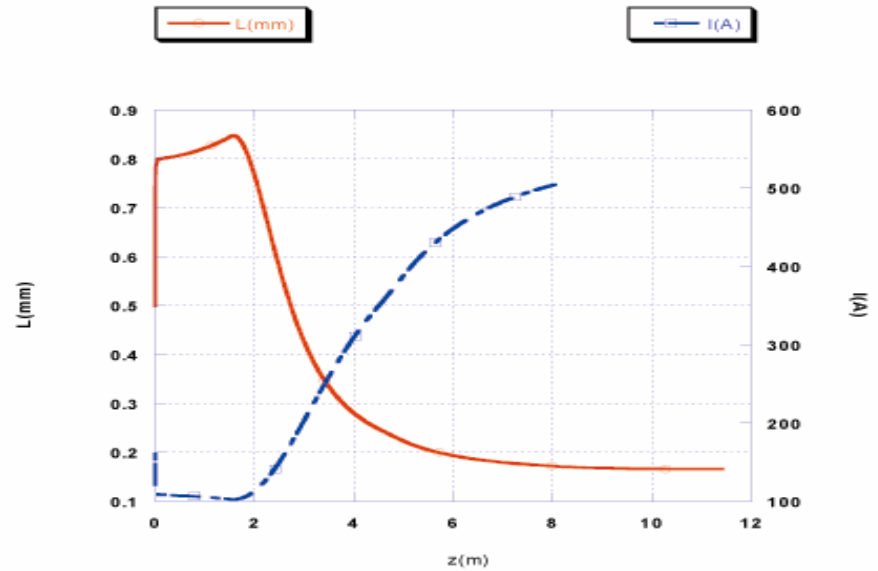
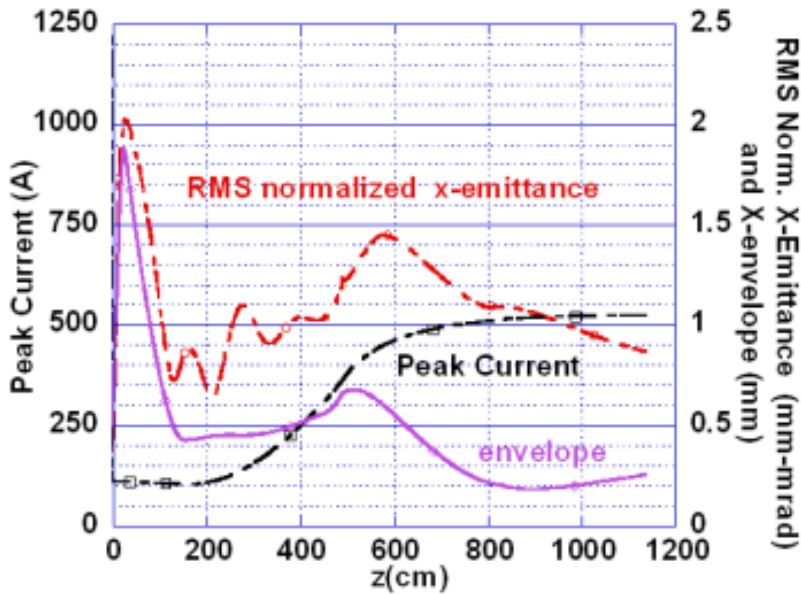
$$k_p^{RFC} = \frac{\Omega\gamma'}{\sqrt{2}\gamma}$$

First PARMELA Simulation of RF Compressor

PRELIMINARY LAYOUT



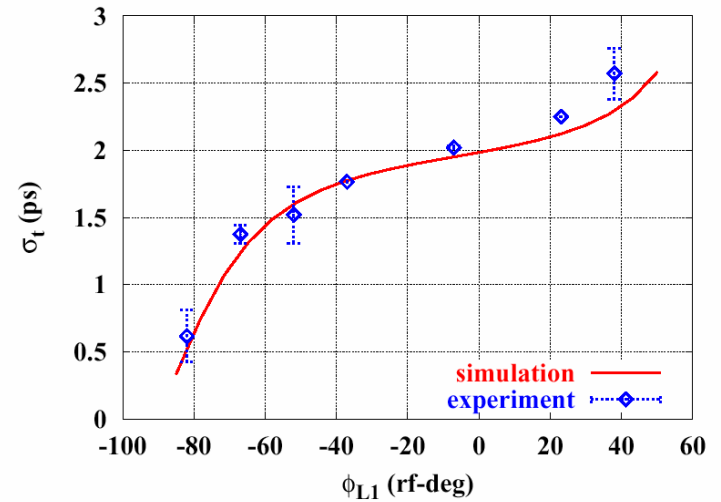
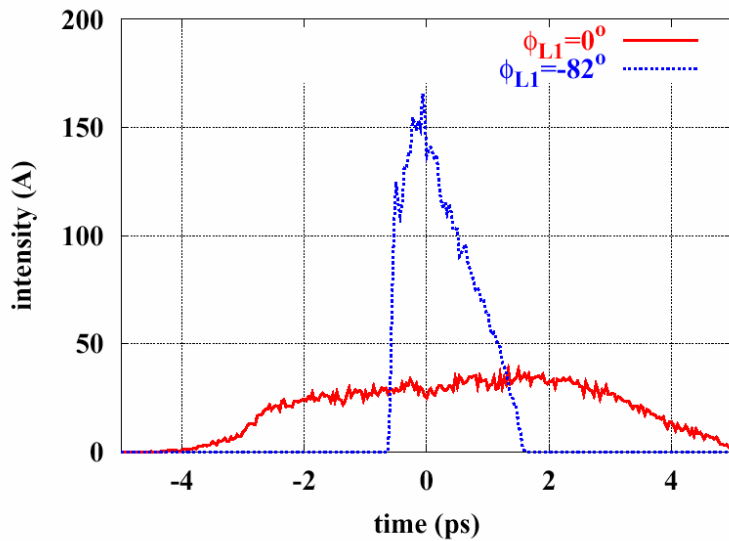
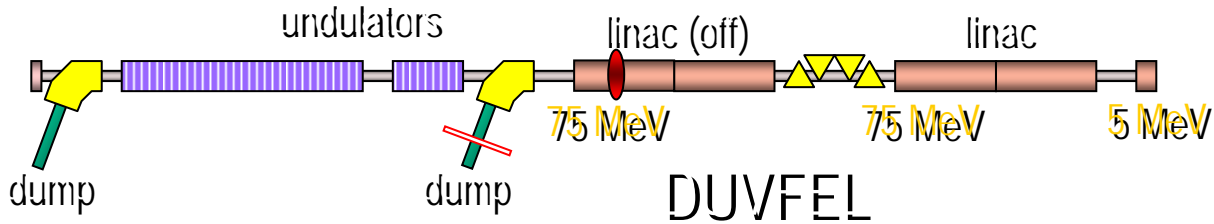
$I_{peak}=500$ A
 $E_n=0.6 \pi$ mm mrad
 $\Delta E/E = \pm 2.25\%$



C. Ronsivalle

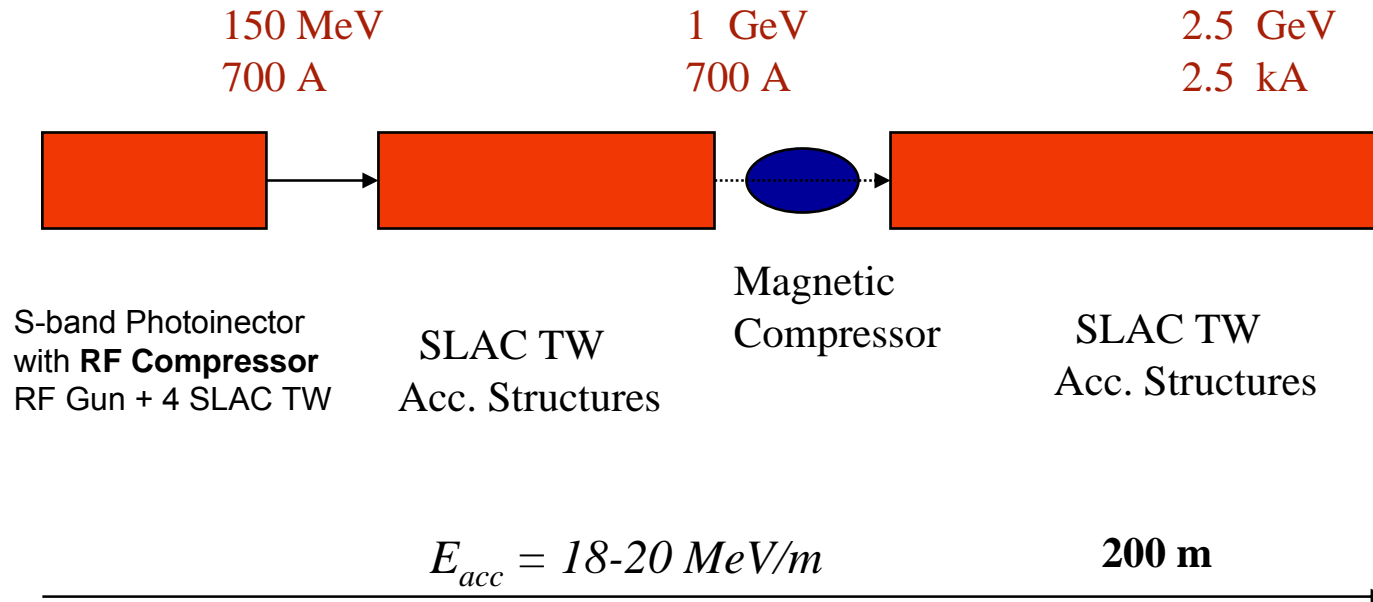
RF Compression at DUVFEL

(B. Graves & Ph. Piot)

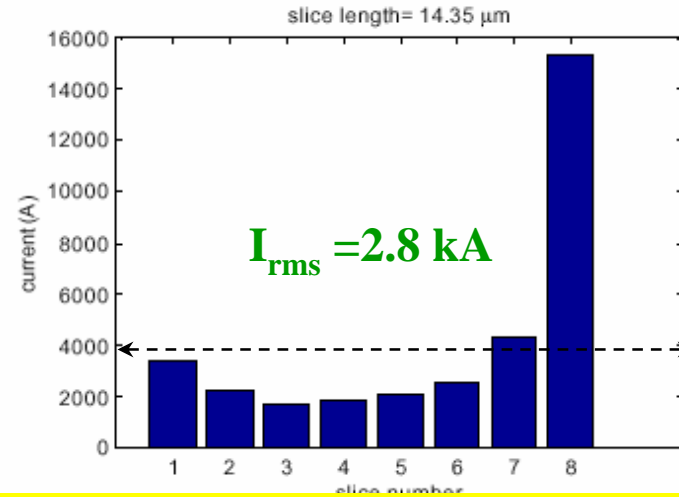
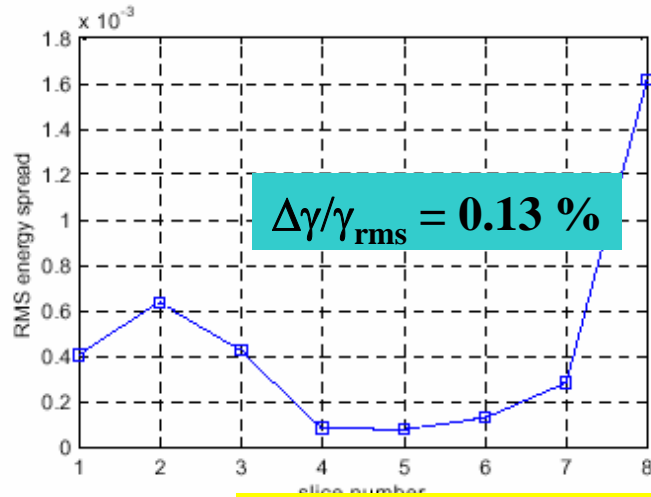


Examined two solutions: S-band normal conducting and L-band SC

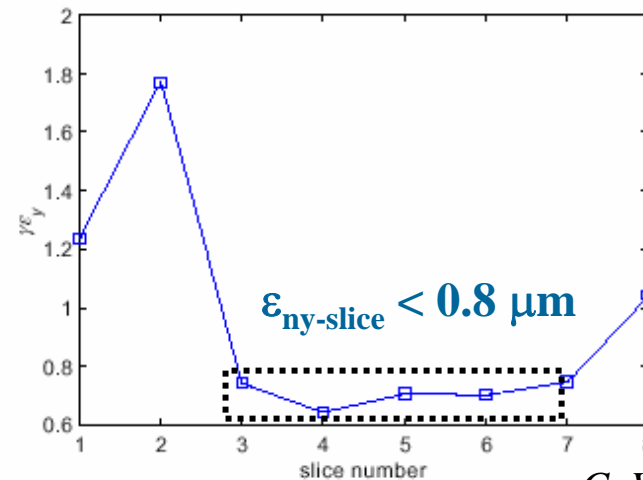
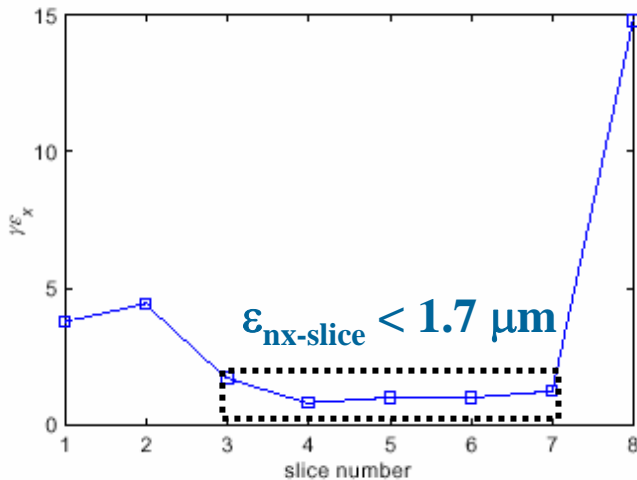
S-band Room-Temperature



Start-to-End Simulations (First with RF Compressor!) Slice Analysis at Linac End. (T=2.5 GeV)



Specs for FEL operation satisfied over 60% of bunch slices



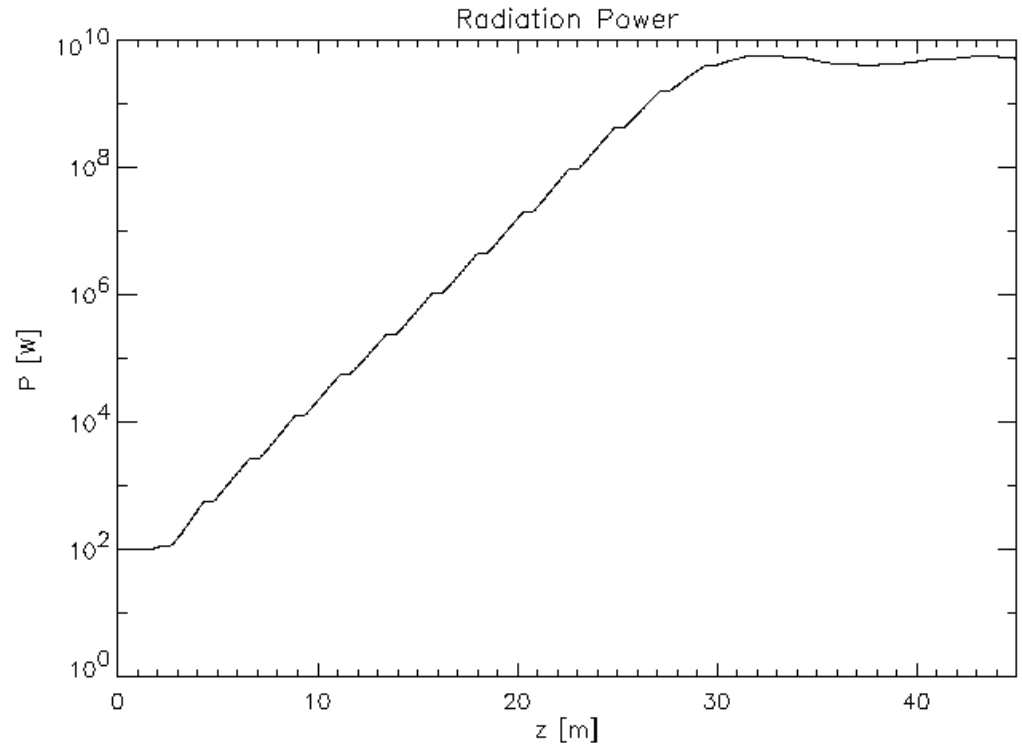
3D simulation with GENESIS @ 1.5 nm

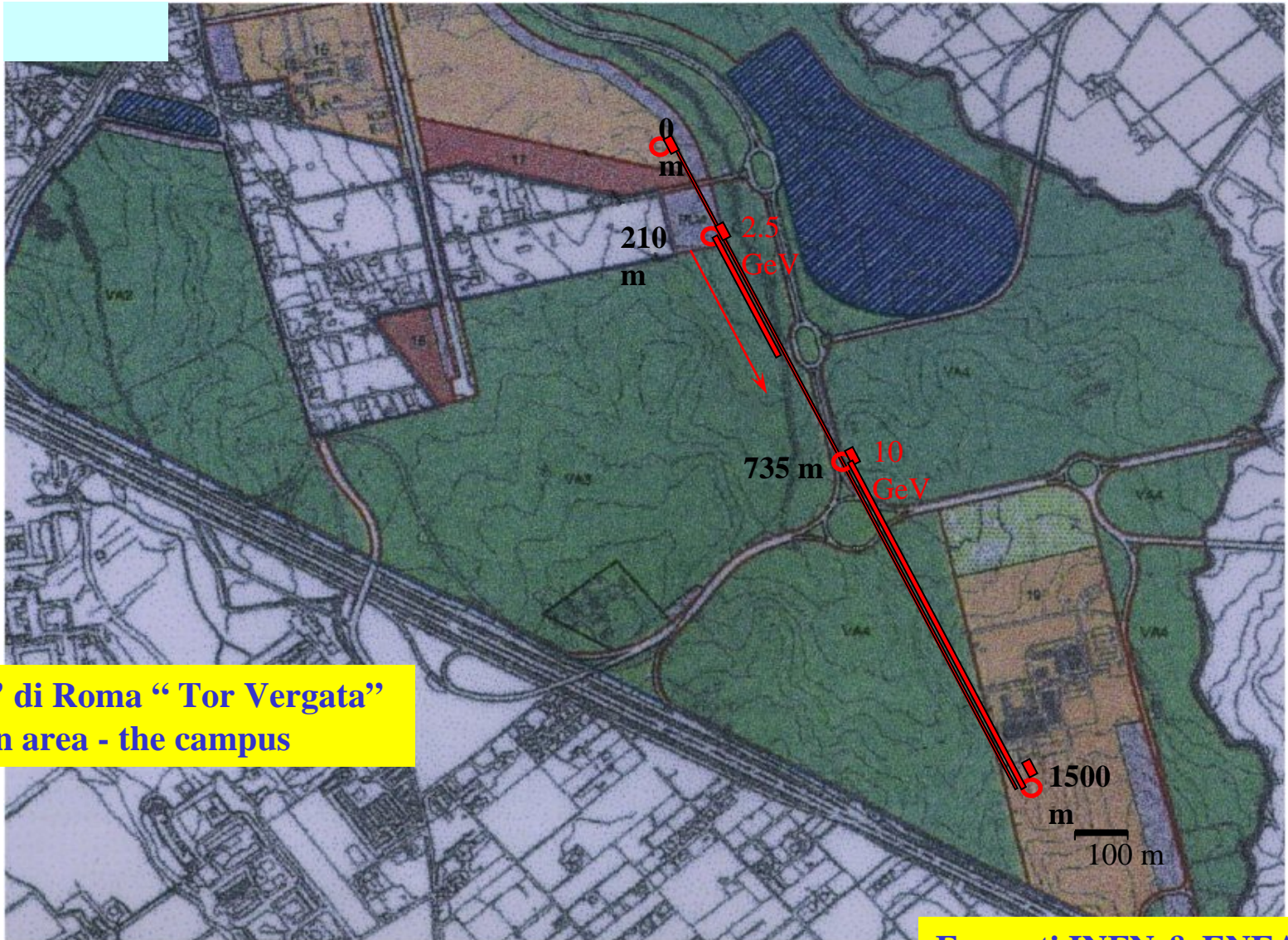
Tab. 3: Undulators characteristics

	Undulator 1 @1.5nm	Undulator 2 @13.5 nm
Type	Habach	Habach
Period	3 cm	5 cm
K	1.67	4.88
Gap	12.67 mm	12.16 mm
Residual Field	1.25 T	1.25 T

Tab. 4: FEL-SASE expected performances

Wavelength (λ)	1.5 nm	13.5 nm
Saturation length	24.5 m	14.5 m
Peak Power	10^{10} W	$4 \cdot 10^{10}$ W
Peak Power 3 larm.	$2 \cdot 10^8$ W	$5 \cdot 10^9$ W
Peak Power 5 larm.	$3 \cdot 10^7$ W	$2 \cdot 10^8$ W
Brilliance	$1.8 \cdot 10^{31}$	$2 \cdot 10^{32}$
Brilliance 3 larm.	10^{29}	10^{31}
Brilliance 5 larm.	$9 \cdot 10^{28}$	$3 \cdot 10^{29}$





Universita' di Roma "Tor Vergata"
green area - the campus

Frascati INFN & ENEA
Laboratories

2 mile off map

